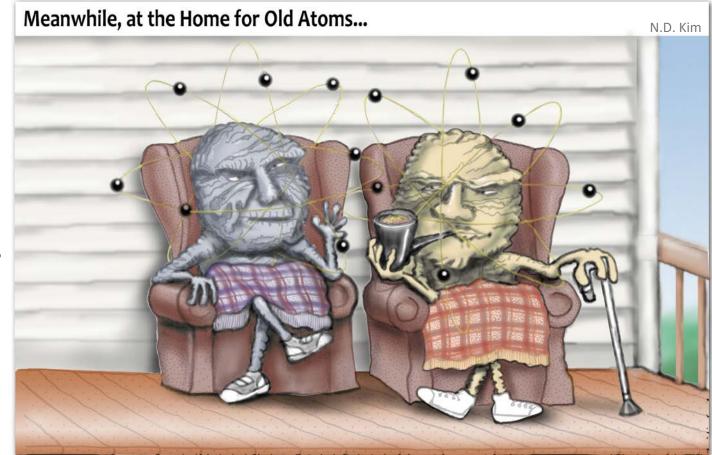
# Lecture 7: *α Decay*

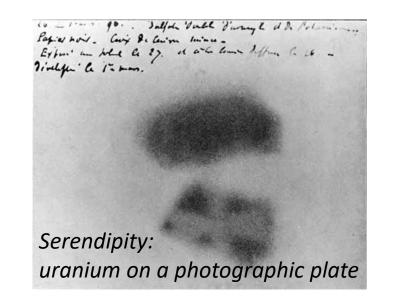
- Energetics
- •Geiger-Nuttall
- •Tunneling through a barrier
- Decay hindrance
- •Why  $\alpha$  emission?

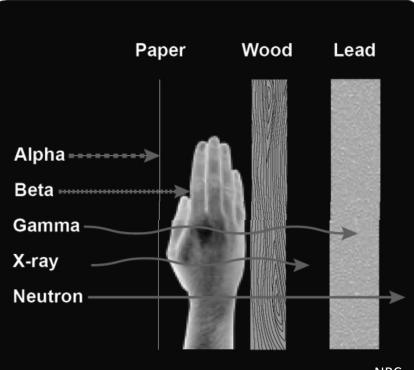


"When I was young I was an atom of uranium-238. I felt so alive, so dangerous! Then one day I accidentally ejected an alpha particle. Now look at me – a spent old atom of lead-206. All my life since then has been nothing but decay, decay, decay..."

The  $\alpha$ -ray

- At the end of the 1800s, folks such as Becquerel, the Curies, and Rutherford got their hands on uranium and radium samples, they found emitted energetic particles
  - As an aside, Becqurel was studying the phosphorescence of a uranium compound. On a cloudy day, he gave up and put his uranium and photographic plate in his desk drawer and went home. Later he found that with no external light source, the photographic plate had an image nonetheless.
- In 1899 Rutherford was studying the penetrating power of radiation from uranium and he found some was stopped after a thin piece of material and some took much more material to do the stopping
  - Naturally, he ranked them:  $\alpha$ ,  $\beta$
  - The next year, Villard found a more penetrating type:  $\gamma$
- The "rays" were further differentiated by mass spectrometry and α's were identified as helium nuclei a few years later (though it took until 1914 to realize γ-rays were electromagnetic)

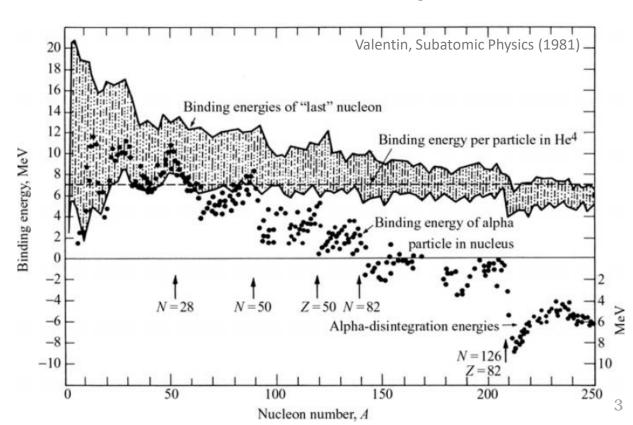




### Energetics of $\alpha$ decay

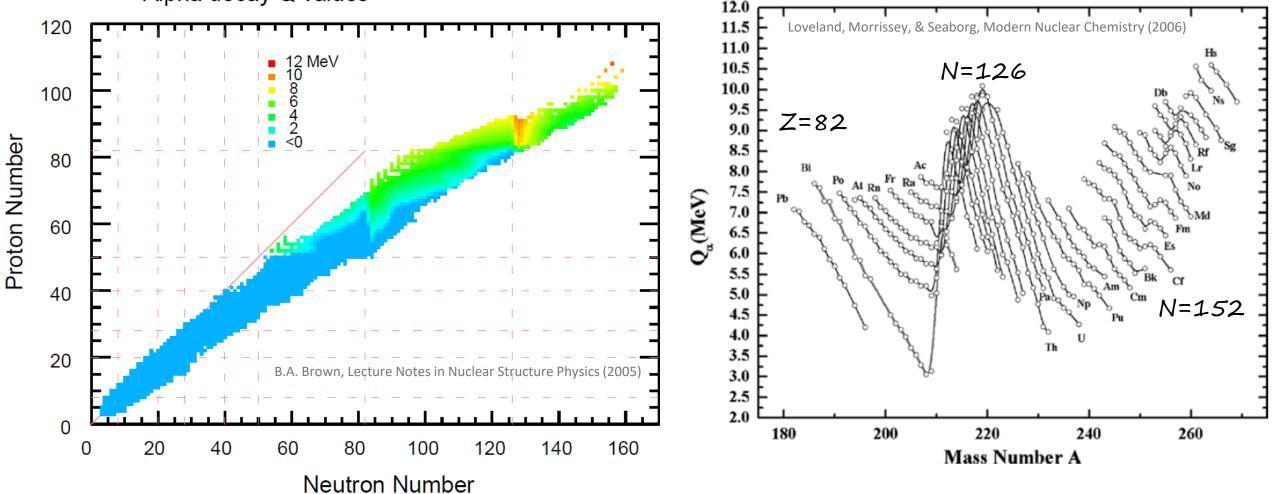
- Radioactive decay is a spontaneous process, caused by a system moving to a lower energy state
- As such, energy is released in the decay; i.e. it is exothermic
- The energy release is described by the Q-value:  $Q = \sum_{reactants} ME(Z, A) \sum_{products} ME(Z, A)$
- For  $\alpha$ -decay:  $Q_{\alpha} = ME_{parent} ME_{daughter} ME_{4}_{He}$
- Why would  $Q_{\alpha}$  be positive?
  - •In terms of the SEMF, losing the 2 protons lowers the Coulomb energy, doesn't impact asymmetry and pairing, and barely changes the surface and volume energies (per A)
  - While the last nucleon is tightly bound, so is a nucleon in a <sup>4</sup>He cluster rattling around in the nucleus.
  - The <sup>4</sup>He itself is not nearly as bound in the nucleus, making α-emission energetically favorable above A~150

The change in atomic binding energy (helium leaves ionized and the daughter initially has 2 extra electrons) can be ignored



#### $Q_{\alpha}$ provides another signature for magic numbers

Alpha decay Q values

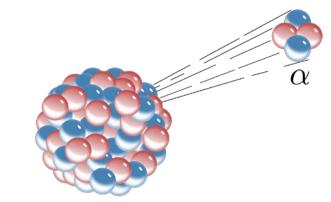


Wait a second, Zach! How is this different than measuring individual masses and taking mass differences, like the neutron separation energy, to find structure signatures? We can get  $Q_{\alpha}$  from the emitted  $\alpha$  energy.

# $\alpha$ energy from $Q_{\alpha}$

- When an  $\alpha$  is emitted, it will share some energy with the heavy recoil, so  $KE_{\alpha}$  isn't quite equal to  $Q_{\alpha}$
- We just need to employ conservation of momentum and energy
  - $\vec{p}_{parent} = \vec{p}_{daughter} + \vec{p}_{\alpha}$
  - Conveniently  $p_{parent} = 0$ , so the daughter and  $\alpha$  will move in opposite directions and  $p_{daughter} = -p_{\alpha}$

  - So it's a pretty small effect, but one you should include anyway (Not a small effect for β-delayed particle emission in lighter nuclei)
- Conveniently,  $\alpha$  sources typically have several  $E_{\alpha}$  from the decay chain, and so they provide several energy calibration points

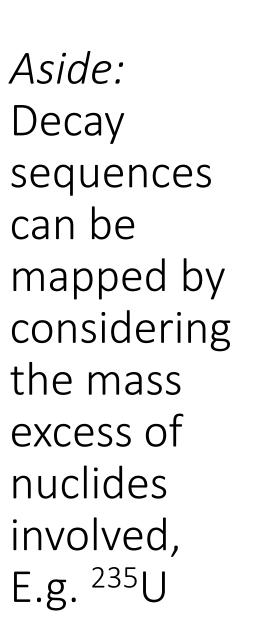


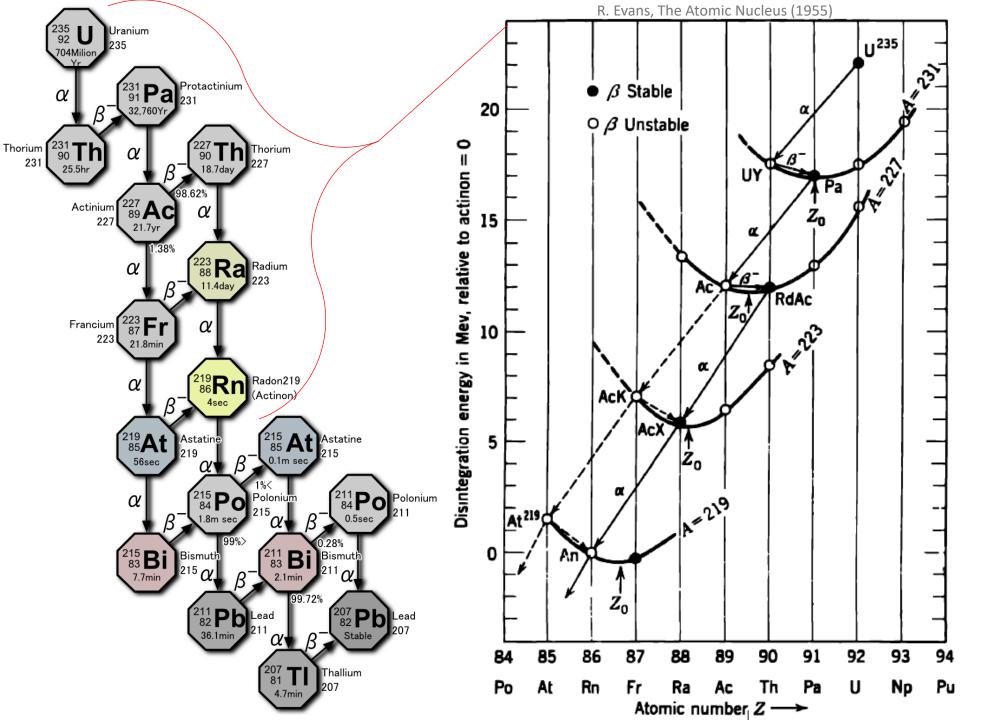
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parent,

 $\alpha$ -ray energy [kev]

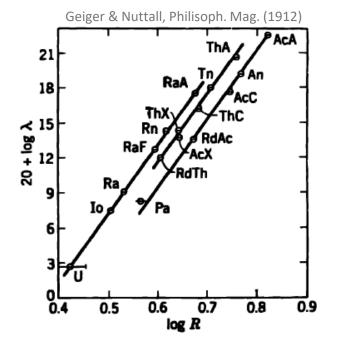


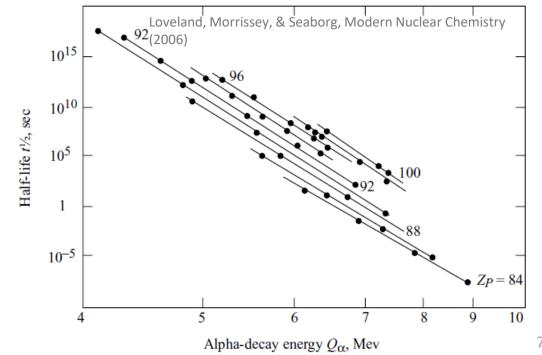


#### Geiger-Nuttall relation

- In an early effort to characterize  $\alpha$ -decay, Geiger & Nuttall (H. Geiger & J.M. Nuttall, Philisoph. Mag. (1911, 1912)) compared the range of  $\alpha$  particles in a material vs  $t_{\frac{1}{2}}$  of the  $\alpha$ -source and found a linear relationship in log-log space
- In modern terms, using  $Q_{\alpha}$  instead of range, we get the Geiger-Nuttall relation:  $\log_{10}(t_{\frac{1}{2}}) = a + bZQ_{\alpha}^{-\frac{1}{2}}$ (Range is the integral of stopping power  $(\frac{dE}{dx} \equiv S(E) \propto \frac{1}{E})$ :  $R = \int \frac{1}{S(E)} \propto E^2$ )
- Obviously the  $\alpha$  energy somehow impacts  $t_{\frac{1}{2}}$  ...incredibly strongly
- For  $\sim \times 2$  increase in  $Q_{\alpha}$ , nearly 20 orders of magnitude decrease in  $t_{\frac{1}{2}}!!$

What does this imply about useful α sources?
There's a relatively limited range of E<sub>α</sub> available.
Large E<sub>α</sub> sources aren't active for long enough,
while low E<sub>α</sub> sources require huge amounts to have an appreciable activity (A=λN).





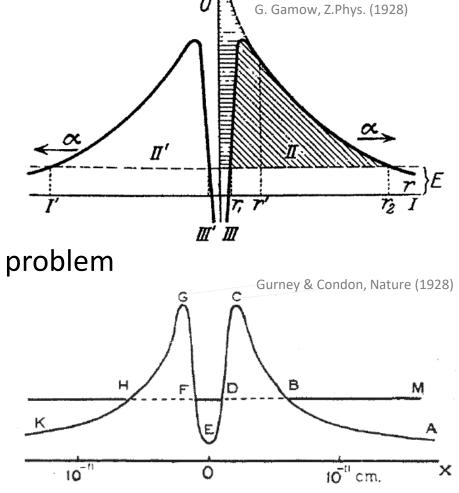
### $\alpha$ decay from a quantum mechanical perspective

• Compared to the Coulomb barrier,  $E_{\alpha}$  is pretty puny:

• E.g. <sup>226</sup>Ra: 
$$V_c = \frac{Z_{\alpha} Z_{Ra226}}{R} \frac{e^2}{\hbar c} \hbar c = \frac{2 \cdot 88}{1.2 \left(4^{1/3} + 222^{1/3}\right)} \frac{197 MeV fm}{137}$$
  
 $\approx 27.5 MeV$ 

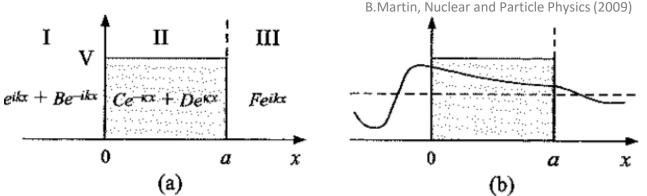
- Compare this to  $E_{\alpha} \approx 5 MeV$
- So, classically an  $\alpha$  emission couldn't happen
- Gamow (and simultaneously Gurney & Condon) realized this problem could be neatly described by quantum mechanical tunneling
- The basic picture is that an  $\alpha$  particle is rattling around in the nucleus, doing laps with a velocity  $v_{\alpha} = \sqrt{2E_{\alpha}/m_{\alpha}}$
- Each time the α hits the Coulomb barrier, formed by the nuclear core [which is the daughter of the α decay], it has some probability of tunneling through
- Therefore, the challenge is to calculate this transmission probability

Interestingly, Gamow figured this out on a summer research trip he took out of frustration with his thesis research. This is the same trip where he conceived the liquid drop model and the theory of nuclear fusion. (<u>R. Stuewer, Plenary paper for 1997 Gamow Symposium</u>) 8



## Tunneling through a square 1D barrier

- Consider the simplest tunneling case, a plane wave penetrating a square barrier
- A particle with mass m and energy E hitting a barrier of with a and height V > E can be described by three regions:



- I: Incidence and reflection, II: decaying in barrier and decaying reflection, III: Outgoing
- I:  $\psi_I(x) = Ae^{ikx} + Be^{-ikx}$ ; II:  $\psi_{II}(x) = Ce^{-\kappa x} + De^{\kappa x}$ ; III:  $\psi_{III}(x) = Fe^{ikx}$
- Where the wavenumber k is from  $\hbar^2 k^2 = 2mE$ and the decay constant  $\kappa$  is from  $\hbar^2 \kappa^2 = 2m(V - E)$
- The probability to make it through the barrier is the Transmission Coefficient  $T \equiv |F/A|^2$
- Some time back in a quantum mechanics class, you found:

$$T = \left| \frac{2k\kappa e^{-ika}}{2k\kappa \cosh(\kappa a) - i(k^2 - \kappa^2)\sinh(\kappa a)} \right|$$

- Luckily, for large  $\kappa a$ ,  $\sinh(\kappa a) \approx \cosh(\kappa a) \approx \frac{1}{2}e^{\kappa a} \dots$  so,  $T \approx \left(\frac{4k\kappa}{k^2 + \kappa^2}\right)^2 e^{-2\kappa a}$
- The exponent dominates, and so usually one writes  $T \approx e^{-2\kappa a} \equiv e^{-2G}$

### Tunneling through an arbitrary barrier

- The result from the 1D barrier can be generalized by breaking an arbitrary barrier into a series of 1D barriers,
   which is a trick that goes by the name of the WKB approximation (Wentzel, Kramers, Brillouin)
- Replacing  $2\kappa a$  with  $2\sum \kappa(x)\Delta x$  and using an integral instead of a Riemann sum,

$$2\kappa a \rightarrow 2 \int \sqrt{\left(\frac{2m}{\hbar^2}[V(x) - E]\right)} dx \quad \dots \text{ generalizing to 3D: } 2G = \frac{2}{\hbar} \int \sqrt{\left(2m[V_c(r) - E]\right)} dr$$

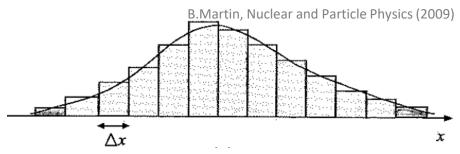
• Some details:

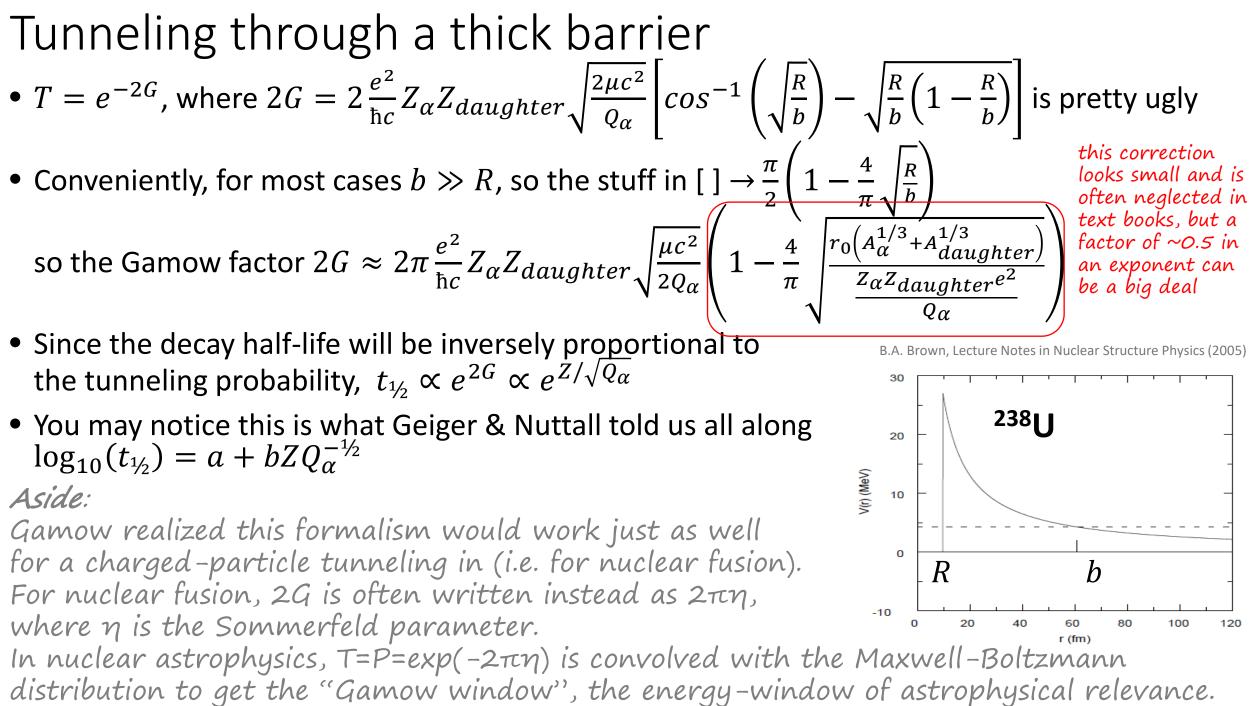
•*m* actually needs to be a reduced mass, since the energies are in the center of mass system:  $\mu = \frac{m_{\alpha}m_{daughter}}{m_{\alpha}+m_{daughter}}$ 

• The limits for integration will be from the border of the potential well  $R = r_0 \left( A_{\alpha}^{1/3} + A_{daughter}^{1/3} \right)$ to the classical distance of closest approach b, where  $E = Q_{\alpha} = \frac{Z_{\alpha}Z_{daughter}}{b} \frac{e^2}{\hbar c} \hbar c$  (i.e.  $b = \frac{Z_{\alpha}Z_{daughter}e^2}{Q_{\alpha}}$ )

•
$$V_c(r) = \frac{2\alpha^2 daughter}{r} \frac{e^2}{\hbar c} \hbar c$$
 ...though you could add a centrifugal barrier if  $\Delta \ell \neq 0$  for the decay and the barrier shape could be from an optical potential determined by scattering  $V_c(r) = \frac{2\alpha^2 daughter}{hc} \frac{\Delta \ell \neq 0}{hc} \int_R^b \left(\frac{b}{r}\right)^{1/2} dr = 2 \frac{e^2}{\hbar c} Z_{\alpha} Z_{daughter} \sqrt{\frac{2\mu c^2}{Q_{\alpha}}} \left[ cos^{-1} \left( \sqrt{\frac{R}{b}} \right) - \sqrt{\frac{R}{b}} \left( 1 - \frac{R}{b} \right) \right]$ 

Why show this gory detail? Now you can estimate the transmission coefficient for an arbitrary case. 10





# Qualitative implications

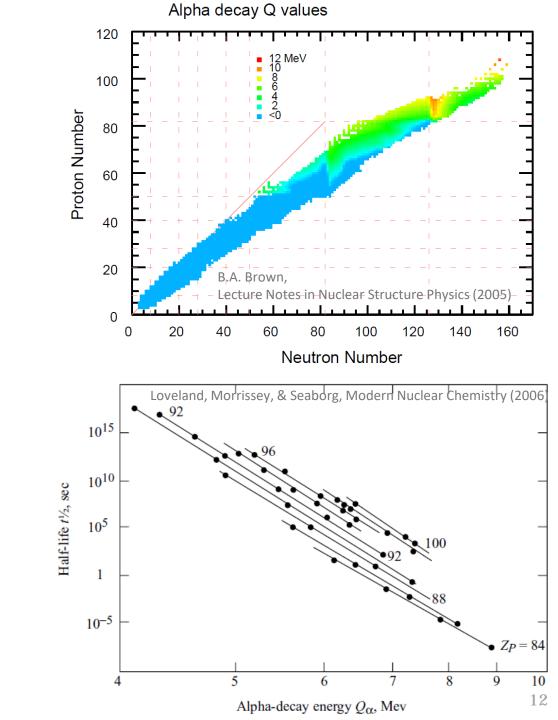
- $Q_{\alpha}$  generally increases with increasing Z because there is increased penalty in the liquid drop model binding for increased  $E_{Coul}$
- Increasing Z means an increased Coulomb barrier height and therefore more of a barrier to tunnel through

... for the same  $Q_{lpha}$ , increasing Z increases  $t_{1/_2}$ 

• Increasing  $Q_{\alpha}$  means the  $\alpha$  energy required to tunnel through the barrier is larger, therefore the  $\alpha$  velocity is larger, therefore the  $\alpha$  bombards the barrier more frequently

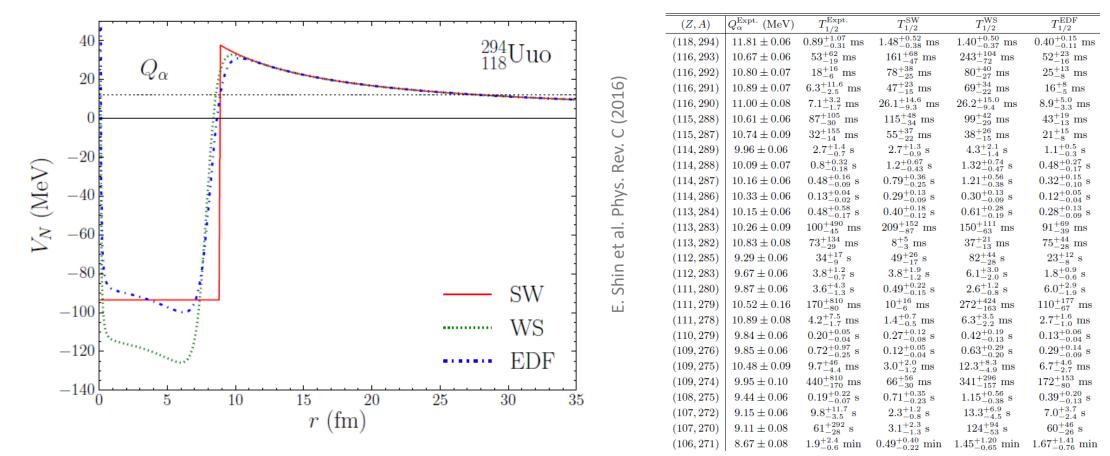
#### ... for the same Z, increasing $Q_{lpha}$ decreases $t_{1\!\!/_2}$

i.e. decays to excited states should have longer half-lives, provided parity needn't be violated



#### What about using a Woods-Saxon?

- Before we go too far, we may want to pause and check how big of an issue it is that we're using a square well potential + the Coulomb potential and not the Woods-Saxon we know and love
- It turns out, using the square well doesn't change the answer all that much
- To do it the rigorous way, you need to solve for the relevant Coulomb wavefunctions (M. Seaton Comp. Phys. Comm. 2002). Usually these codes are packaged to directly calculate the penetrability. An online calculator to do this is available at <u>Alexander Volya's nucracker website</u>.



# Estimate for $\alpha$ -decay $\lambda$

• The decay constant is a product of the frequency an  $\alpha$  will bombard the barrier f, the tunneling probability T, and the probability of forming an  $\alpha$  within the nucleus  $w(\alpha)$ 

• 
$$\lambda = fTw(\alpha)$$

• We discussed *T* already:  $T = \exp\left(-2\pi \frac{e^2}{\hbar c} Z_{\alpha} Z_{daughter} \sqrt{\frac{\mu c^2}{2Q_{\alpha}}} \left(1 - \frac{4}{\pi} \sqrt{\frac{r_0 \left(A_{\alpha}^{1/3} + A_{daughter}^{1/3}\right)}{\frac{Z_{\alpha} Z_{daughter}e^2}{Q_{\alpha}}}}\right)\right)$ 

• f is just one over the time it takes for the  $\alpha$  to travel across the nucleus  $f = \frac{v_{\alpha}}{2R} = \sqrt{\frac{2(V_0 + Q_{\alpha})}{\mu}} \frac{1}{2r_0(A_{\alpha}^{1/3} + A_{daughter}^{1/3})}$ 

• Setting 
$$w(\alpha) = 1$$
 for the moment, consider <sup>235</sup>U

• 
$$V_0 \approx 30 MeV$$
 (from optical model fits),  $Q_{\alpha} \approx 4.68 MeV$ 

• 
$$f = \frac{\sqrt{2(30MeV + 4.68MeV)/(3.93amu * 931.5MeV/c^2/amu)}}{2(9.3fm)} \approx 2.3 \times 10^{21} s^{-1}$$
  
•  $T = \exp\left(-2\pi \frac{1}{137}(2)(90)\sqrt{\frac{(3.93)(^{931.5MeV}/_{c^2})c^2}{2(4.68MeV)}}\left(1 - \frac{4}{\pi}\sqrt{\frac{1.2fm(^{\frac{1}{4^3}}+231^{\frac{1}{3}})}{\frac{(2)(90)197MeVfm}{2(4.68MeV)137}}}\right)$   
•  $\lambda \approx 2.4 \times 10^{-13} s^{-1}$  ...i.e.  $t_{\frac{1}{2}} \approx 9 \times 10^4 yr$  ...actual:  $7 \times 10^8 yr$ 

$$\frac{10^{-1}}{10^{-2}} \left( \begin{array}{c} \text{Loveland, Morrissey, & Seaborg, \\ Modern Nuclear Chemistry (2006)} \\ 10^{-2} \\ 10^{-3} \\ 10^{-4} \\ 10^{-5} \\ 10^{-6} \\ 140 \\ 160 \\ 180 \\ 200 \\ 220 \\ 240 \\ 260 \\ 100 \\ 180 \\ 200 \\ 220 \\ 240 \\ 260 \\ 100$$

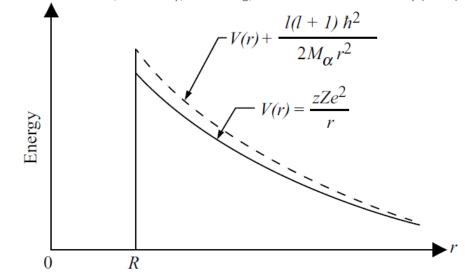
# Including the centrifugal barrier

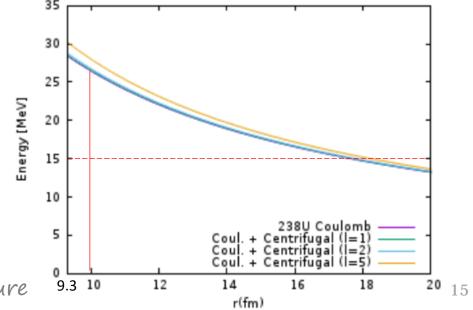
- α decay may involve transitioning from a nucleus with one J<sup>π</sup> to another, meaning the α particle carries away angular momentum
- This means the  $\alpha$  particle must tunnel through a centrifugal barrier:  $V_l = \frac{l(l+1)\hbar^2}{2\mu R^2}$ , where l is the angular momentum being carried away by the  $\alpha$
- ullet This adds to the Coulomb barrier, creating a taller & thicker barrier for larger l

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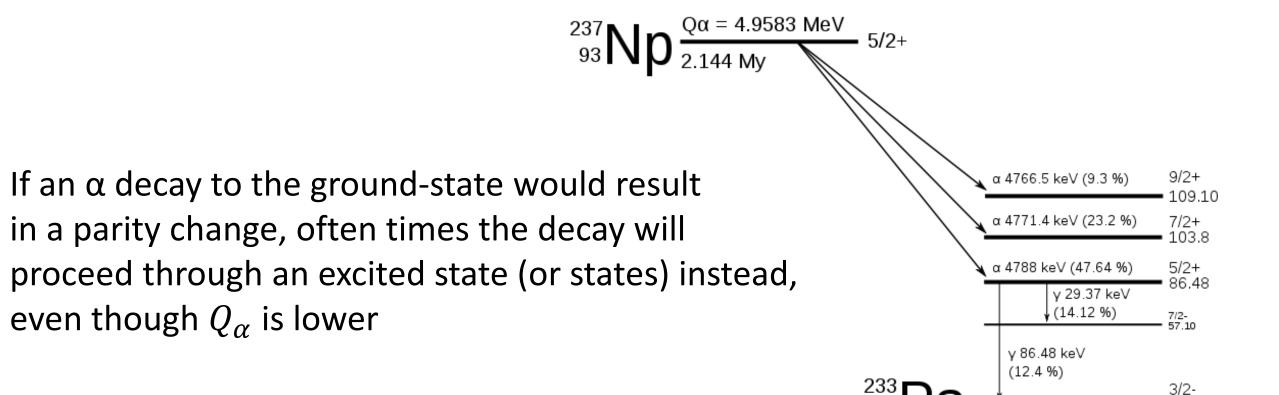
- However, practically speaking it is tiny (Jain & Tewary ZPhysA 1962)
- It turns out (Loveland, Morrissey, & Seaborg, Modern Nuclear Chemistry (2006)), this correction is roughly:  $\lambda_{l\neq 0} \approx \lambda_l e^{-(2.027(l(l+1))Z^{-1/2}A^{-1/6})}$
- Compare this to the difference in barrier thickness corresponding to a fixed  $Q_{\alpha}$  for a small change in R

a fixed  $Q_{\alpha}$  for a small change in RHowever, parity conservation will affect the allowed  $\Delta \ell$  ( $\Delta \pi = (-1)^{\ell}$ ) Also, this will matter far below the barrier, e.g. for astrophysical  $\alpha$ -capture





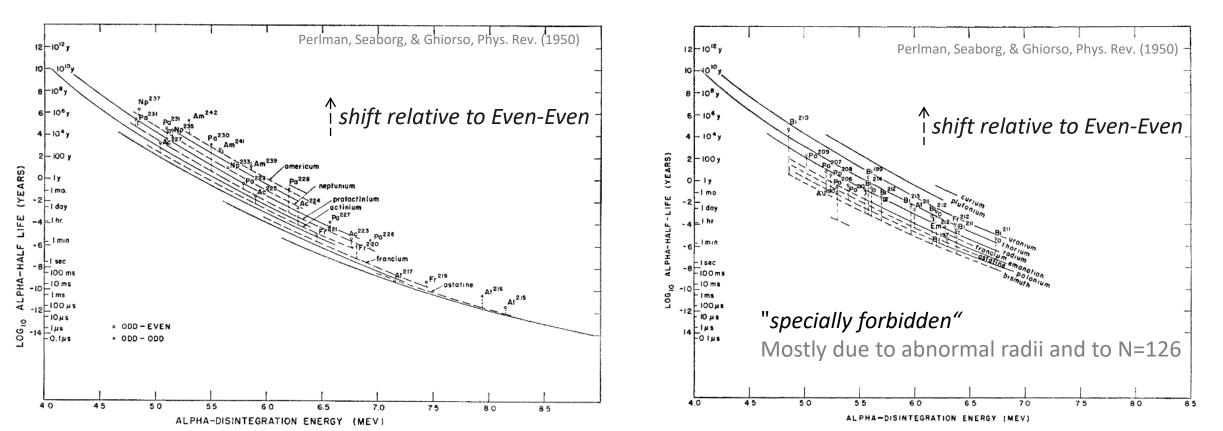
#### Impact of parity change on $\alpha$ decay



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### Hindrance factors

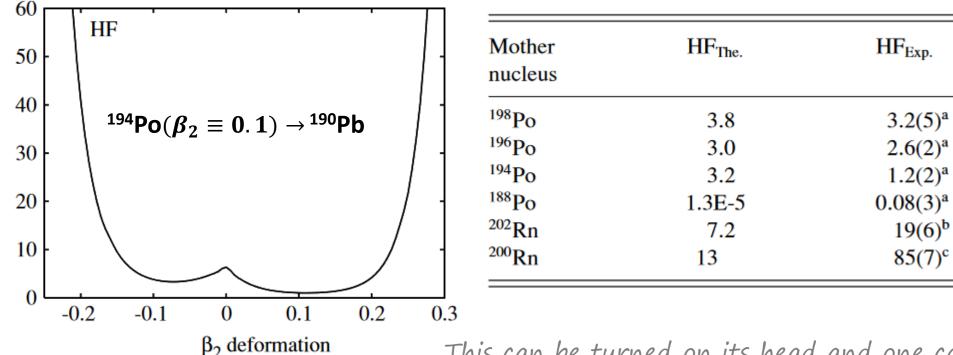
- To now, what we've done is valid for even-Z even-N nuclei
- For odd nuclei, the odd nucleon messes up α pre-formation, hindering the α decay by a factor of anywhere from a few to >1000, depending on the conditions
- E.g. If the odd nucleon of the parent & daughter is in the same orbit,  $\lambda$  is reduced by  $\sim \times 4$
- If the parity must change,  $\lambda$  is reduced by  $\sim \times 100$  ...if spin & parity change,  $\sim \times > 1000$



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### Hindrance factor from deformation

- Aha! You've forgotten life is a lie and nothing matters!
   Even-even nuclei can have hindrance factors too!
- The overlap in the wave functions for before and after the  $\alpha$ -decay needs to be appreciable
- Since the wave function describes the probability for nucleons to be in a given location, there is obviously not going to be much overlap if the decay is from a non-deformed parent to a highly deformed daughter



D. Karlgren et al. Phys. Rev. C (2006)

This can be turned on its head and one can infer the deformation of a nucleus based on the measured hindrance factor. 1

#### Improved empirical relations

- Now that you have an appreciation for how difficult it is to predict accurate  $\lambda_{\alpha}$ , you can see the appeal of improved empirical relationships
- Fits exist using a modern form of the Geiger-Nuttall equation
- For example, for a fit to  $Q_{\alpha}$  calculated with the 2003 Atomic Mass Evaluation, one finds:

$$\Delta l = 0 \qquad z \qquad N \qquad \Delta l \neq 0$$

$$\log_{10}[T] = -25.752 - 1.15055A^{\frac{1}{6}}\sqrt{Z} + \frac{1.5913Z}{\sqrt{Q}} \qquad Even \qquad Even \qquad \log_{10}[T] = -27.750 - 1.1138A^{\frac{1}{6}}\sqrt{Z} + \frac{1.6378Z}{\sqrt{Q}}$$

$$\log_{10}[T] = -34.156 - 0.87487A^{\frac{1}{6}}\sqrt{Z} + \frac{1.6923Z}{\sqrt{Q}} \qquad Even \qquad Odd \qquad + \frac{1.7383 \times 10^{-6}ANZ[l(l+1)]^{\frac{1}{4}}}{Q} + 0.002457A[1 - (-1)^{l}]}$$

$$\log_{10}[T] = -32.623 - 1.0465A^{\frac{1}{6}}\sqrt{Z} + \frac{1.7495Z}{\sqrt{Q}} \qquad Odd \qquad Even \qquad + \frac{8.9785 \times 10^{-7}ANZ[l(l+1)]^{\frac{1}{4}}}{Q} + 0.002513A[1 - (-1)^{l}]}$$

$$\log_{10}[T] = -31.186 - 0.98047A^{\frac{1}{6}}\sqrt{Z} + \frac{1.6744Z}{\sqrt{Q}} \qquad Odd \qquad Odd \qquad \log_{10}[T] = -26.448 - 1.1023A^{\frac{1}{6}}\sqrt{Z} + \frac{1.5967Z}{\sqrt{Q}}$$

$$+ \frac{1.6961 \times 10^{-6}ANZ[l(l+1)]^{\frac{1}{4}}}{Q} + 0.00101A[1 - (-1)^{l}]}$$

#### Improved empirical relations

- Now that you have an appreciation for how difficult it is to predict accurate  $\lambda_{\alpha}$ , you can see the appeal of improved empirical relationships
- Several other Geiger-Nuttall-esque parameterizations exist, E.g.
  - Denisov & Khudenko, Atom. Dat. Nuc. Dat. Tab. (2009)

$$\log_{10}[T_{1/2}(s)] = -a - \frac{bA^{1/6}\sqrt{Z}}{\mu} + \frac{cZ}{\sqrt{Q_{\alpha}}} + \frac{d\sqrt{\ell(\ell+1)}}{QA^{-1/6}} - e((-1)^{\ell} - 1) \text{ gs-gs, all cases}$$

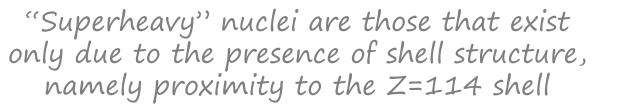
$$\mu = \left(A/(A-4)\right)^{1/6}$$
Hatsukawa, Nakahara, & Hoffman, Phys. Rev. C (1990)
$$\log_{10}(t_{1/2}) = A(Z) \times \left[\frac{A_d}{A_pQ_a}\right]^{1/2} \times \left[\arccos \sqrt{X} - \sqrt{X(1-X)}\right] - 20.446 + C(Z,N)$$

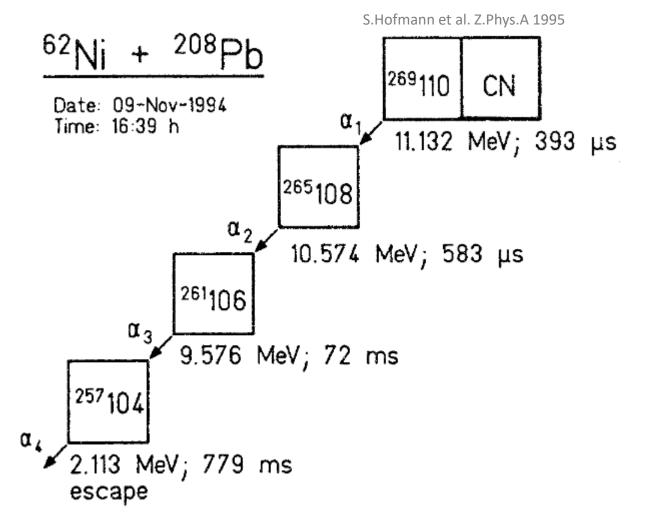
$$C(Z,N) = 0$$
for ordinary regions outside closed shells
$$C(Z,N) = [1.94 = -0.020(82 - Z) - 0.070(126 - N)]$$
for  $8 \le Z \le 20$ ,  $110 \le N \le 126$ 

$$X = 1.2249(A_d^{1/3} + 4^{1/3}) \times \frac{Q_a}{2Z_de^2}$$

### Why does the $t_{\frac{1}{2}}$ - $Q_{\alpha}$ relationship matter? Superheavies

- The discovery of new elements and heavy isotopes typically relies on detecting several sequential (or coincidental) α decays
- Theoretical predictions allow one to know what energies and time windows to look for
- Measured  $Q_{\alpha}$  and  $t_{\frac{1}{2}}$  enable properties of the newly discovered elements to be inferred by looking at the departure from the even-even relationship





## Why does the $t_{\frac{1}{2}}$ - $Q_{\alpha}$ relationship matter? $\alpha$ capture

When the theory of α decay was first postulated,
 Gamow realized that tunneling in through the barrier should be no different than tunneling out

 $10^{3}$ 

10<sup>2</sup>

10

 $10^{\circ}$ 

10

10

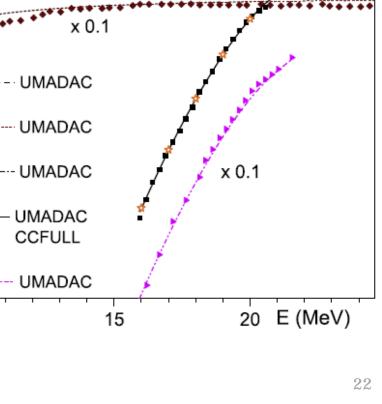
- i.e.  $\alpha$  capture should be described by the same model
- α decay measurements can be used to infer information about the potential describing the interaction between the α and the nucleus (the "α optical potential")
- Cross section predictions using α optical
   potentials inferred from α decay measurements
   do a pretty decent job

Why would this help?

• Provide one more piece of data (e.g. with  $\alpha$  scattering)

• Direct measurements might not be possible (short-lived nuclides)

...why would we care about  $\sigma_{\alpha\text{-}capture}$  for short-lived nuclides? transmutation of material within reactors



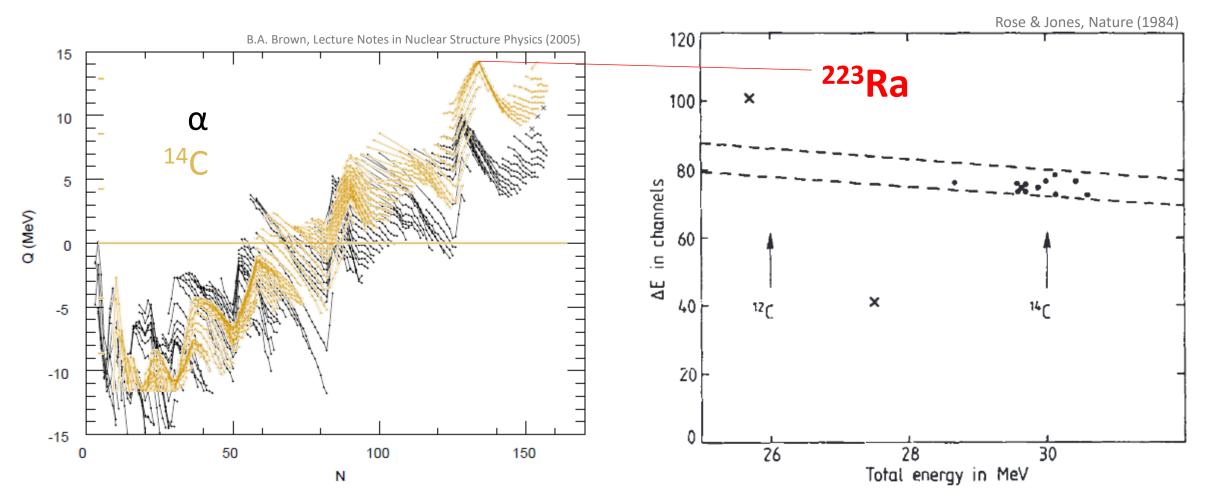
Denisov & Khudenko, Atom. Dat. Nuc. Dat. Tab. (2009

### Why is it $\alpha$ particles that are being emitted?

- So far we've been smugly pleased with ourselves about our ability to describe  $\alpha$  decay ...but why  $\alpha$  decay? Why not proton decay, or <sup>3</sup>He decay, or <sup>12</sup>C decay?
- The short answer is Q-values, Coulomb barriers, and clustering probabilities
  - *Q-value*: The cluster decay must be energetically favorable
  - Coulomb barrier: Higher-Z particles will have a larger barrier to tunnel through
  - Clustering probability: It's less likely for more nucleons to congregate within a nucleus

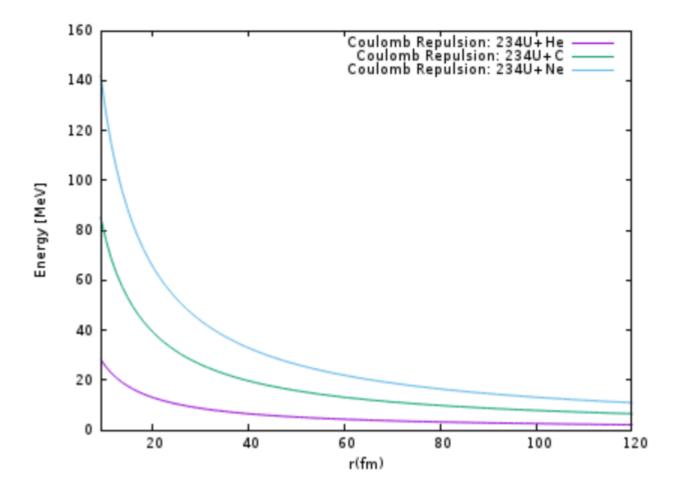
#### Why is it $\alpha$ particles that are being emitted? Q-value

- Decay is a spontaneous process that only occurs because there's a lower energy state that is available; i.e. a positive Q-value is required for a decay to occur
- The more positive the better (since this means more energy to tunnel)



#### Why is it $\alpha$ particles that are being emitted? Coulomb barrier

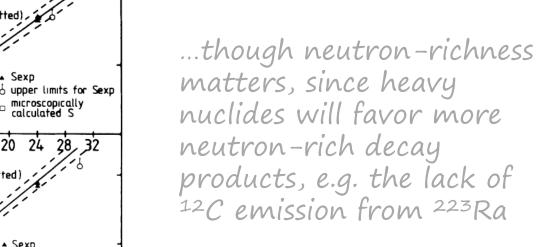
- The Coulomb barrier height scales with the charge of the particle being emitted
- It takes a much larger Q-value to make larger Z decay have any chance at tunneling through



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- Why is it  $\alpha$  particles that are being emitted? Clustering probability
- The likelihood of forming a cluster of nucleons within a nucleus is the preformation factor
- Fancy calculations (which agree with some measurements) show that the cluster preformation probability relative to clustering for an  $\alpha$  (for A<sub>c</sub><28) goes as (Blendowske & Walliser, Phys. Rev. Lett. (1988):

•  $w(A_c) = w(\alpha)^{(A_{cluster}^{-1})/3}$ , where  $w_{even}(\alpha) = 6.3 \times 10^{-3}$  and  $w_{odd}(\alpha) = 3.2 \times 10^{-3}$ 



Blendowske & Walliser, Phys. Rev. Lett. (1988):

microscopically calculated S

o upper limits for Sexp

28 32

24

20

massnumber a of the emitted

cluster

 $S(\alpha) = [S(\alpha)]^{\frac{\alpha-1}{3}}$ 

 $S(\alpha) = 6.3 \quad 10^{-3}$  (fitter

 $S(\alpha) = 3.2 \cdot 10^{-3}$  (fitted

(b

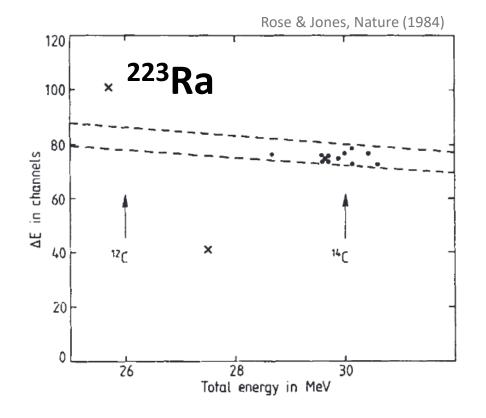
20-

10-

20-

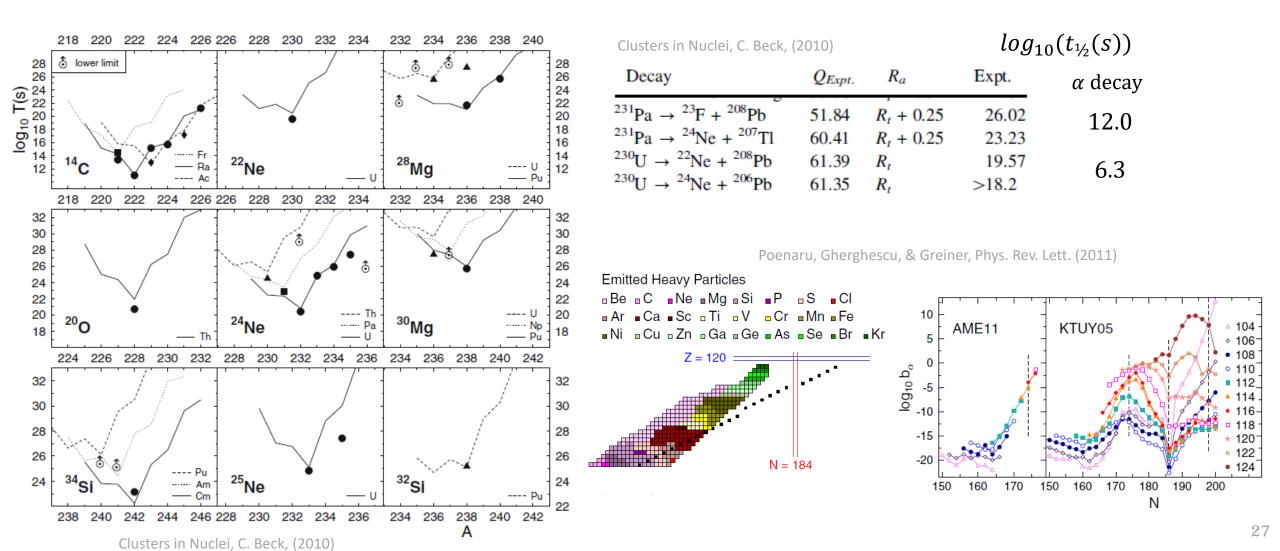
10-

S 

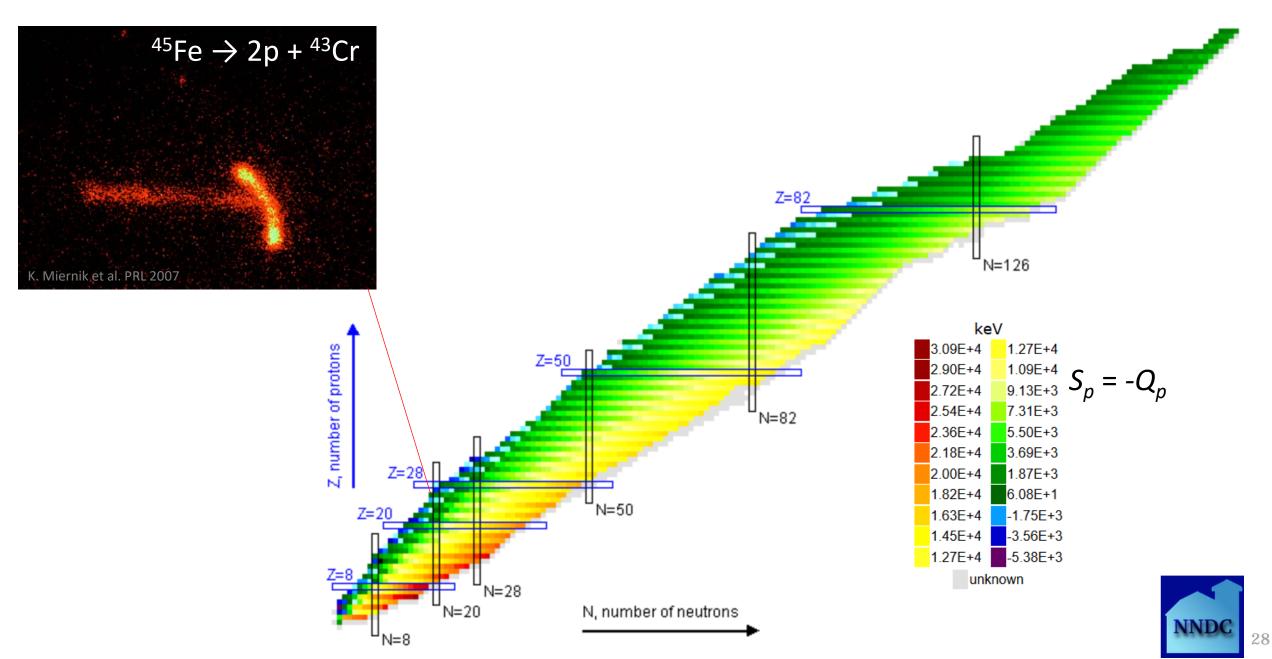


#### ...that said, pretty exotic cluster emission can happen

- Note that some pretty exotic cluster emission can happen, but it's usually a tiny decay branch
- However, some superheavies are predicted to favor cluster emission



### ...and proton emission is a thing for very proton-rich nuclei



# Further Reading

- Chapters 7: Modern Nuclear Chemistry (Loveland, Morrissey, Seaborg)
- Chapter 7: Nuclear & Particle Physics (B.R. Martin)
- Chapter 14, Section 11: <u>Quantum Mechanics for Engineers (L. van Dommelen)</u>
- Chapter 14: Introduction to Special Relativity, Quantum Mechanics, and Nuclear Physics for Nuclear Engineers (A. Bielajew)
- Chapter 4: Lecture Notes in Nuclear Structure Physics (B.A. Brown)
- Chapter 16: The Atomic Nucleus (R. Evans)
- <u>Clusters in Nuclei, C. Beck</u>