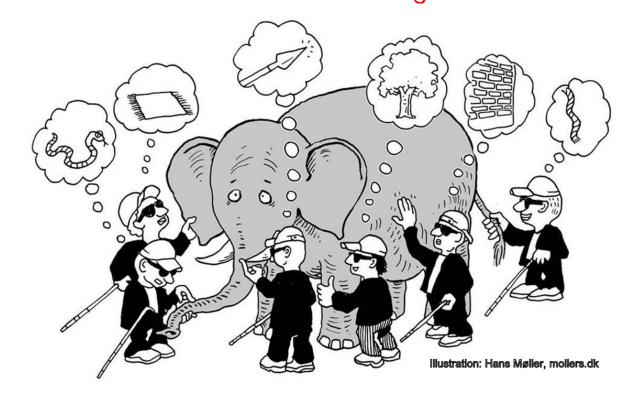
Lecture 2: Nuclear Phenomenology

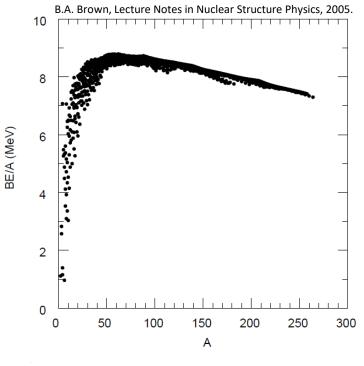
- Mass models & systematics
- Charge & matter distributions
- Moments & deformation
- Spin, parity, & isospin

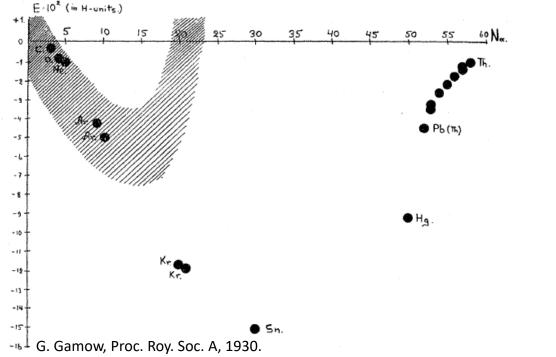
Empirically-motivated & focused theory



# How to explain the binding energy trend?

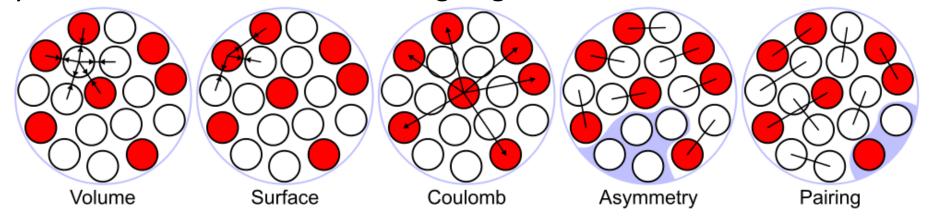
- Like, Gamow in 1929 & 1930 (Z. Phys. A), consider the nucleus as a group of nucleons (he assumed αs) in a close configuration
- Nucleons will bind together via some attraction force, like water molecules in a drop, but there will be some penalty for like-charges repelling each other.
- Comparing to data, do a pretty decent job, but clearly missing something(s)



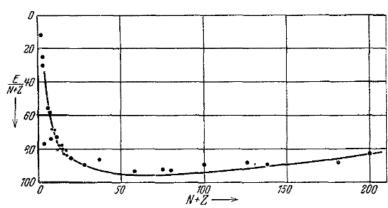


### The Semi-Empirical Mass Formula (SEMF)

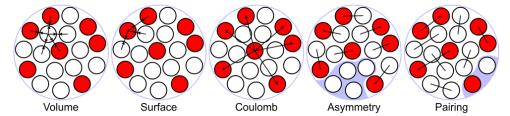
More carefully consider all of the interactions going on in a nucleus:



- All nucleons are attracting each other via the strong force: generates some bulk binding energy
- However, nucleons near the surface don't have a neighbor: penalizes binding energy
- Protons are repel each other due to the Coulomb force: penalizes binding energy
- p-n attraction is stronger than p-p or n-n & p-n favored space-wise by Pauli exclusion: penalizes N-Z asymmetry
- Nucleons want a dance partner (make spin-0 pair): bonus for even-even, penalty for odd-odd, neutral for even-odd
- These considerations lead C. von Weizsäcker to develop the first usable theory for nuclear masses (Z.Phys. A 1935), now dubbed the semi-empirical mass formula, or SEMF if you're extra-cool



# The Semi-Empirical Mass Formula



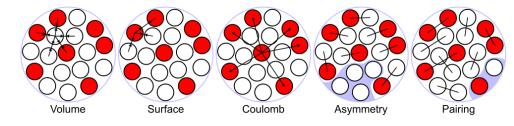
- BE(Z,A) = Volume Surface Coulomb Asymmetry ± Pairing
- One mathematical parameterization\* (of many!):

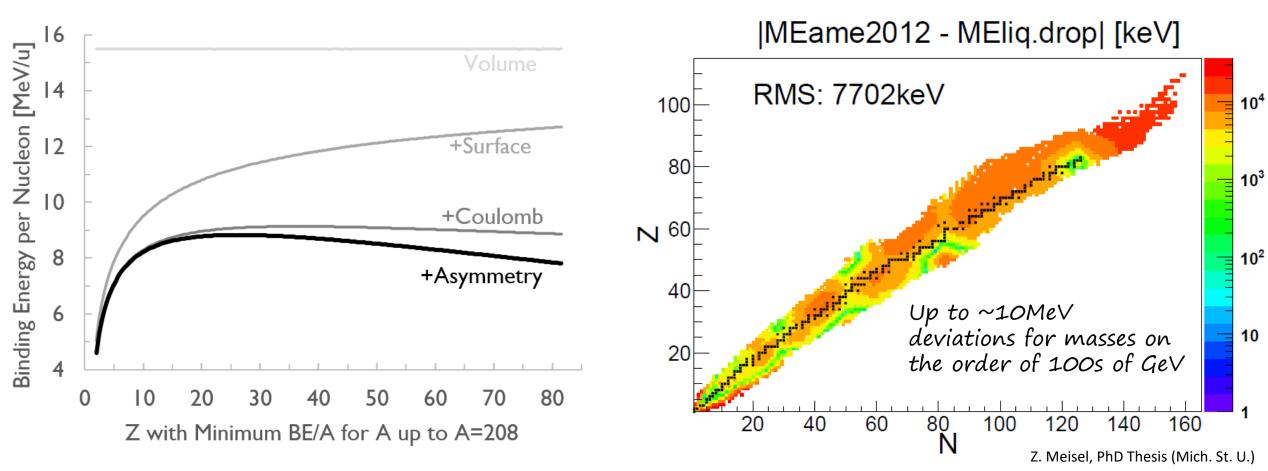
\*from B. Martin, Nuclear and Particle Physics (2009)

- $\bullet BE(Z,A) = a_v f_v(A) a_s f_s(A) a_c f_c(Z,A) a_a f_a(Z,A) + i a_p f_p(A)$ 
  - •Volume: Nucleons cohesively bind, so:  $f_v(A) = A$
  - •Surface: Since radius goes as  $R \propto A^{1/3}$  and surface area goes as  $SA \propto R^2$ ,  $f_s(A) = A^{2/3}$
  - •Coulomb: Energy for a charged sphere goes as  $\frac{q^2}{R}$  and  $R \propto A^{1/3}$ , so  $f_c(Z, A) = \frac{Z(Z-1)}{A^{1/3}}$
  - •Asymmetry: Z=N favored (want Z=A/2) but lesser problem for large A, so  $f_a(Z,A) = \frac{\left(Z \frac{A}{2}\right)^2}{A}$
  - •Pairing: Favor spin-0 nucleon pairs & disfavor unpaired nucleons, empirically  $f_p(A) = (\sqrt{A})^{-1}$ 
    - Even-Z, Even-N: i = +1
    - •Odd-Z, Odd-N: i = -1
    - •Even-Odd: i = 0
  - • $a_i$  are fit to data

A mnemonic for remembering SEMF contributions is "VSCAP".

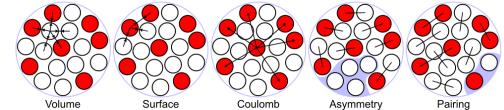
### The Semi-Empirical Mass Formula



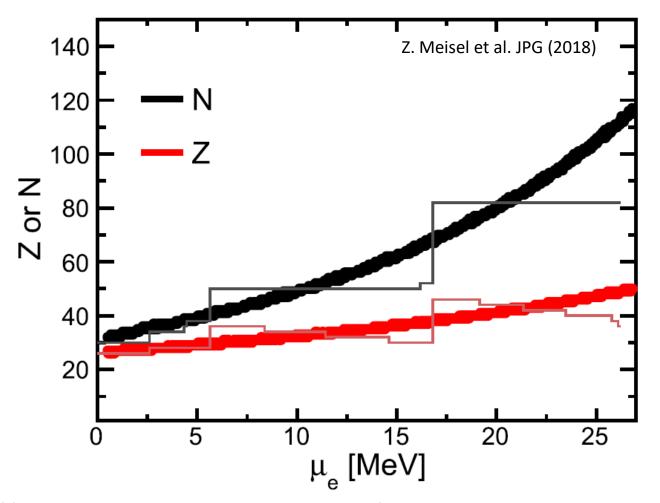


• Gives a pretty remarkable reproduction of the data: ~1MeV deviations compared to ~8MeV/A

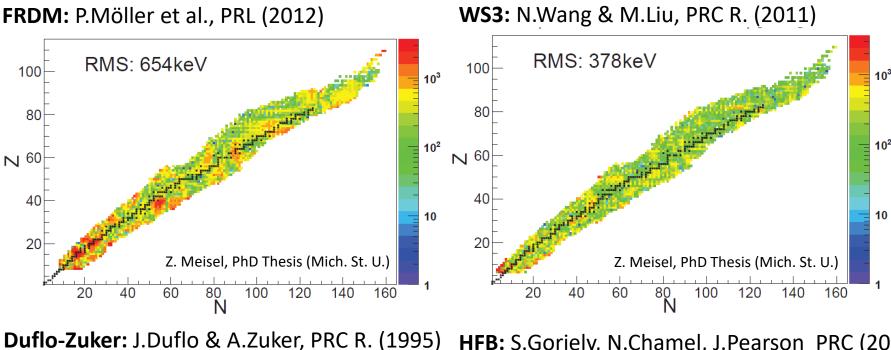
# The Semi-Empirical Mass Formula



 Good enough for many modern applications, e.g. identifying the dominant effect setting the equilibrium composition of neutron star crusts



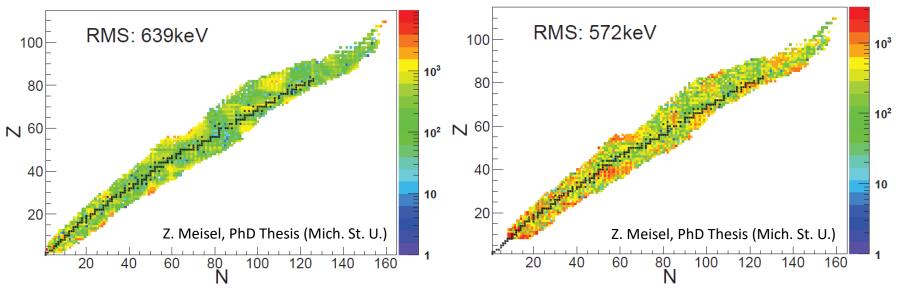
#### Nuclear Mass Models: Common Recent-ish Global Models



#### Others:

- Energy density functionals (EDF), e.g. M.Kortelainen et al. PRC (2012) (see the FRIB Mass Explorer)
- KTUY from Koura, Tachibana, Uno, Yamada, Prog. Theor. Phys. (2005)
- Local, algebraic relations:
  - Garvey-Kelson
  - Isobaric Mass Multiplet Equation
- Smooth mass-surface extrapolations from the AME
  - many more ...

HFB: S.Goriely, N.Chamel, J.Pearson PRC (2010)



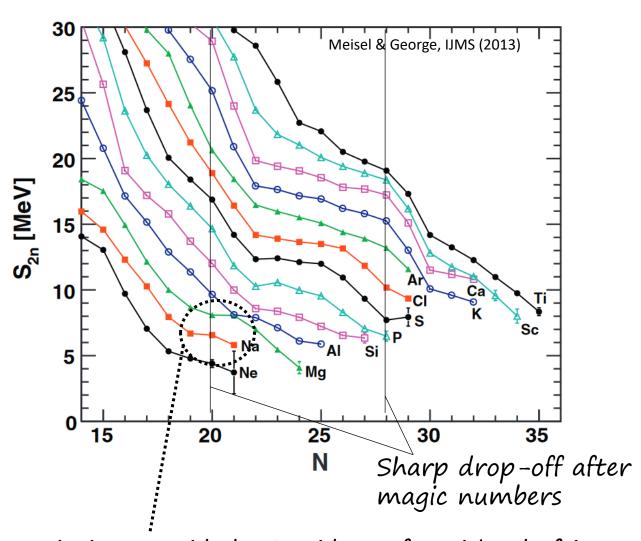
The main point is that even the best theoretical descriptions struggle to do better than the ~100keV level. Structure & astrophysics often need keV-level precision.

#### Nuclear Mass Differences

- The energy released in a nuclear reaction is the "Q-value"
  - $Q = \sum_{reactants} ME(Z, A) \sum_{products} ME(Z, A)$ ,
  - For example,  $Q_{68}_{Se(p,\gamma)}{}^{69}_{Br} = ME(^{68}Se) + ME(p) ME(^{69}Br)$
  - = (-54.189MeV) + (7.288MeV) (-46.260MeV)
  - =-0.641 MeV
- Considering the case above, we calculated the energy released by adding one proton to  $^{68}$ Se, which corresponds to the energy it takes to remove one proton from  $^{69}$ Br, a.k.a. the "proton separation energy",  $S_p$
- Similarly, can calculate the energy to remove 1-neutron  $S_n$ , two-protons  $S_{2p}$ , or two-neutrons  $S_{2n}$ 
  - $\bullet S_p(Z,N) = ME(Z-1,N) + ME(p) ME(Z,N)$
  - $\bullet S_n(Z,N) = ME(Z,N-1) + ME(n) ME(Z,N)$
  - $S_{2p}(Z, N) = ME(Z 2, N) + 2 * ME(p) ME(Z, N)$
  - $S_{2n}(Z, N) = ME(Z, N 2) + 2 * ME(n) ME(Z, N)$

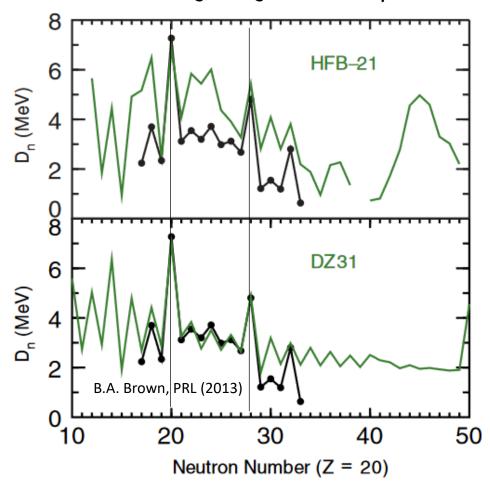
#### Nuclear Masses & Nuclear Structure

Information is encoded in separation energies (& separation energy differences)



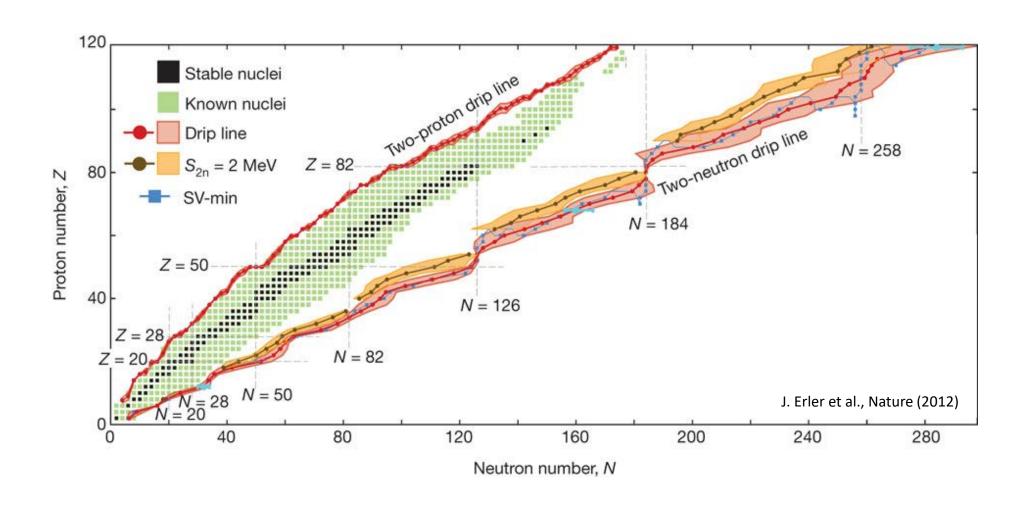
Deviation provided 1st evidence for "island of inversion" [C. Thibault et al. PRC 1975]

See that some mass models do more poorly than it looks when looking only at mass predictions.



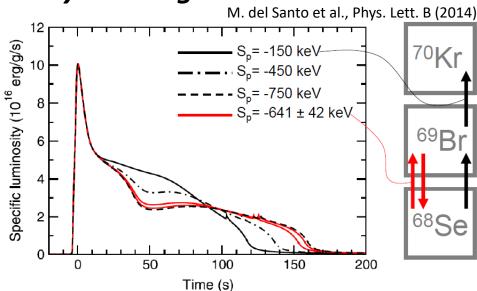
$$D_n(Z,A) = (-1)^{N+1} [S_n(Z,A+1) - S_n(Z,A)]$$

# Nuclear masses define the nuclear landscape

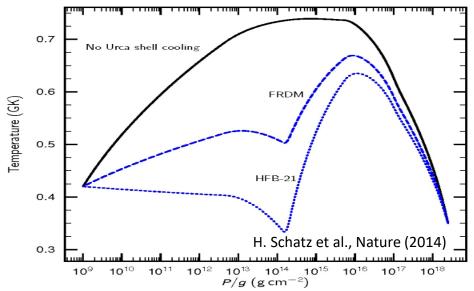


#### Nuclear Masses in Astrophysics (Selected Examples)

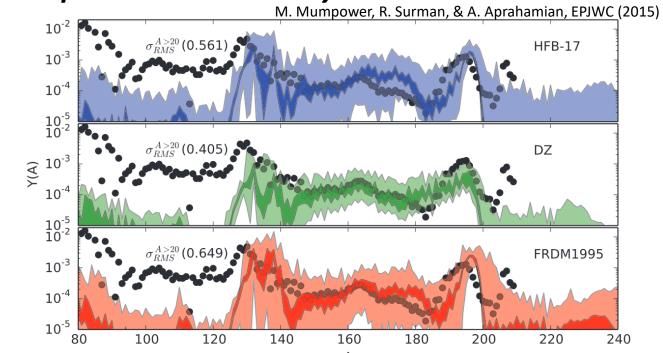
#### X-ray burst light curves



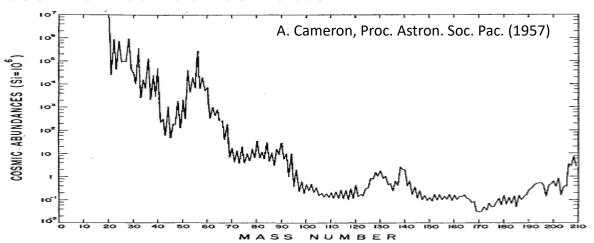
#### **Neutron star crust temperature**



#### r-process abundance yields



#### **Cosmic Abundance Pattern**



#### Charge & Matter Distributions

- The nucleus can't be a point object.
  - Nucleons are fermions: Pauli exclusion forbids putting several in the same place.

- The radial distribution of charge & matter are probed via scattering.
  - Electrons are best for the charge radius, because structure-less but charged
  - Neutrons are best for the matter radius, because not charged and simple-ish structure

### Nuclear charge distribution

•Scattering of point charged particles (with charges e and Ze) is well-described by the relativistic correction to Rutherford scattering, i.e. the Mott scattering cross section

• 
$$\sigma_{Mott}(\theta_{cm}) = \left(\frac{Ze^2}{2E_{cm}}\right)^2 \frac{\left(\cos(\theta_{cm/2})\right)^2}{\left(\sin(\theta_{cm/2})\right)^4}$$
 another notation for  $\sigma(\theta)$  is  $d\sigma/d\Omega(\theta)$ 

• It turns out, from considering scattering of a plane wave off of an extended object and solving for the outgoing spherical wave,

one realizes a form factor needs to be included\*:

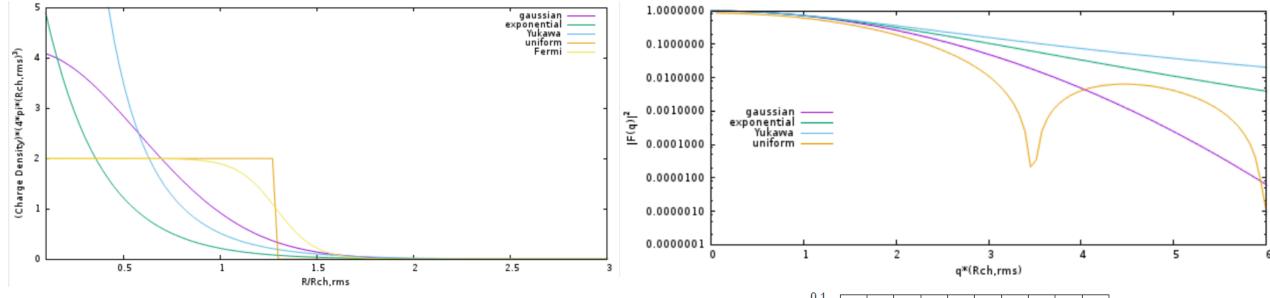
\*It's there for a point object, but it's a delta function

• 
$$\sigma_{scatt}(\theta_{CM}) = \sigma_{Mott}(\theta_{CM}) * \left| \int_{nuclear\ volume} \rho_{charge}(r) e^{i \mathbf{q} \cdot \mathbf{r}} \right|^2$$
 where  $\mathbf{q}$  is the momentum transfer

- $\sigma_{scatt}(\theta_{CM}) = \sigma_{Mott}(\theta_{CM}) * |F(\mathbf{q}^2)|^2$
- ullet i.e. the form factor  $F(q^2)$  is the Fourier transform of the charge distribution

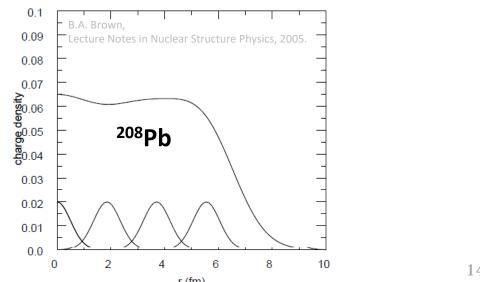
#### Nuclear charge distribution

• Luckily, sharp people have solved for form factors corresponding to typical charge distributions See, e.g. Table 1 of R. Hofstadter, Rev. Mod. Phys. (1956)



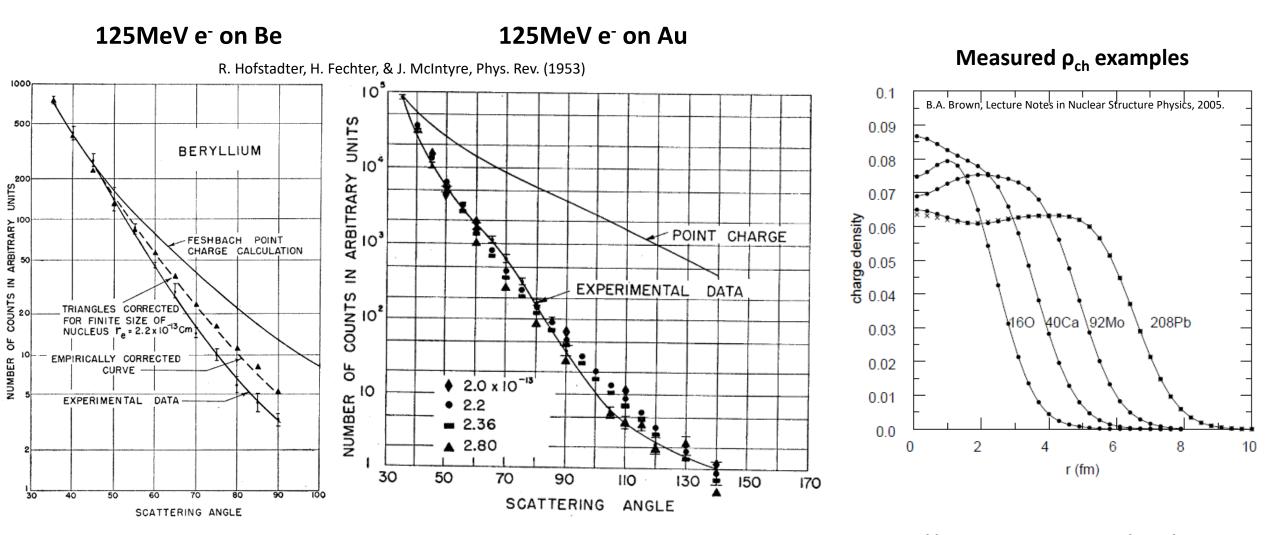
• Comparing measured  $\sigma_{scatt}(\theta)$  to calculations reveals a Fermi-like distribution:

• The associated form factor is absurdly cumbersome, so usually a sum of Gaussians is used instead, since they have more manageable F(q):



#### Nuclear charge distribution

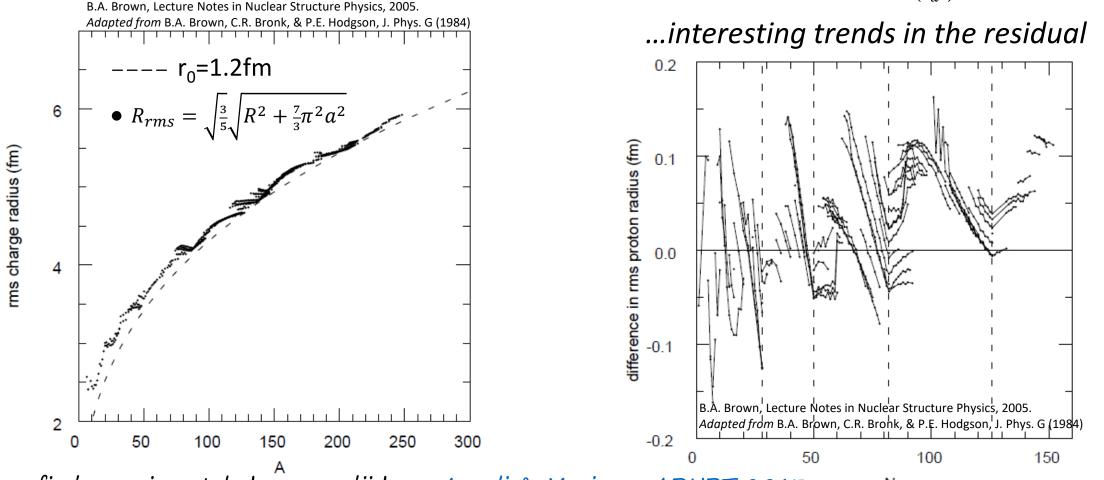
• Comparing measured  $\sigma_{scatt}(\theta)$  to calculations w/ various F(q) reveals a Fermi-like distribution (R. Woods & D. Saxon, Phys. Rev. (1954))



Many estimated distributions can be obtained at the Nuclear Charge Density Archive: <a href="http://faculty.virginia.edu/ncd/">http://faculty.virginia.edu/ncd/</a>, based on the data of Atomic and Nuclear Data Tables, Volumes 14, 36 and 60

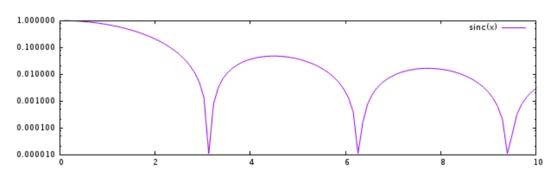
# What about our handy relationship for R(A)!?

- Recall, an estimate for the nuclear radius was stated last time as:  $R(A)=r_0A^{1/3}$
- Can compare RMS radius from Fermi distribution,  $\{\rho_{ch,Fermi}(r) = \frac{\rho_0}{1 + \exp(\frac{r-R}{a})}\}$ , to R(A):



#### Nuclear matter distribution

- The low-budget technique to obtain the matter density,  $\rho_{matt}(r)$ , would be:
  - $\bullet \, \rho_{matt}(r) = \rho_{ch}(r) * (A/Z)$
  - Since  $\rho_{ch}(0)$  steadily decreases with A, this leads to a near constant  $\rho_{matt}(0)$  for all nuclides, which turns out to be  $\rho_{matt}(0) \sim 0.16$  nucleons/fm<sup>3</sup> A recent measurement of the neutron radius used neutrino(!) scattering
- Those with more discerning tastes prefer actual data, (Cadeddu et al. PRL 2018) which is generally\* obtained via neutron scattering, but can be using any hadron
- The appreciable de Broglie wavelength of the probe (e.g. a neutron) and significant contributions from strong-force interactions, mean that the plane wave of the probe will undergo diffraction, as in optics
- A light probe (e.g. n, p, d) at appreciable energy (e.g. 10's of MeV), ... is sort of like light passing by an absorbing disk\*: Get Fraunhofer diffraction
  - $\sigma(\theta) \propto sinc^2(a\theta)$



\*If you're a glutton for punishment, the mathematics for diffraction from an absorbing disk is here: R.E. English & N. George, Applied Optics (1988)

#### Nuclear matter distribution

- The analogy is taken further with the "optical model"
- Like a photon, incoming nucleons can be scattered or absorbed by the scattering medium (i.e. the target)
- In optics, the imaginary part of the refractive index accounts for absorption of the incident light wave
- Here, the imaginary part of a complex potential (which describes the interaction between the projectile and target) corresponds to all inelastic reactions [As a refresher, elastic means kinetic energy is conserved. Everything else is inelastic]
- An important feature of the optical model is that the main property of relevance for the target is the nuclear size, so an interaction potential for similar target and a similar projectile & energy should do a decent job
- This allows for "global optical models",
   e.g. Perey & Perey, Phys. Rev. 1966
   and
   Koning & Delaroche, Nuc. Phys. A 2003

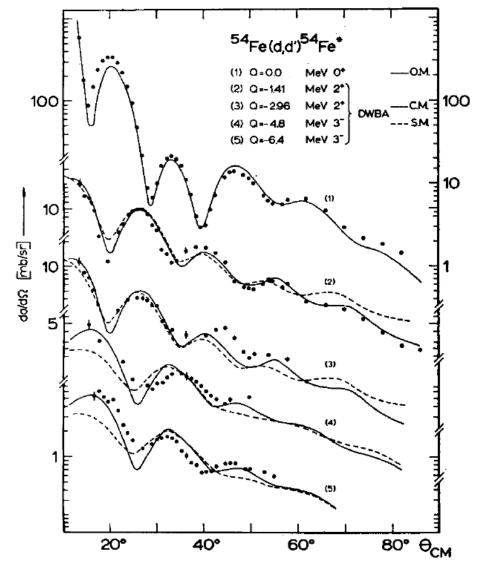


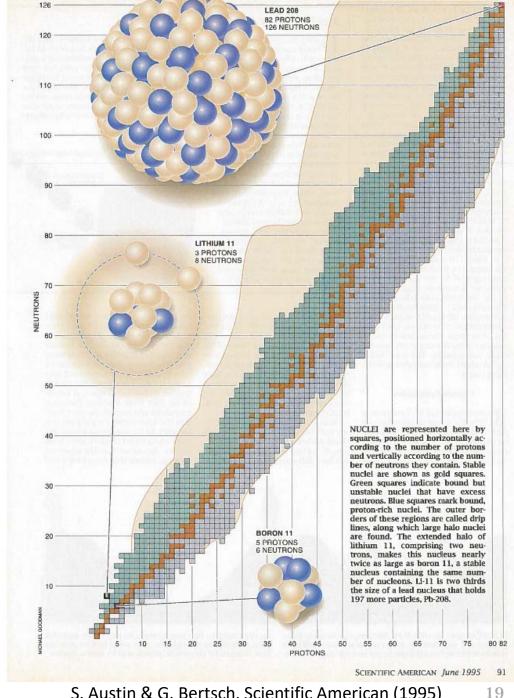
Fig. 8. Angular distributions of the elastically (1) and inelastically (2, 3, 4, 5) scattered deuterons from <sup>54</sup>Fe and optical-model fit (O.M.), one-phonon collective-model (C.M.) and shell-model (S.M.) calculations.

# Exceptions to the rule: Halo nuclei

- Some nuclei exhibit radii far larger than expected from the  $r_0A^{1/3}$  estimate
- Their large radius is due to 1, 2, or 4 loosely bound nucleons
- The small binding energy of these valence nucleons corresponds to a low tunneling barrier
- From Heisenberg's uncertainty principle, the nucleon(s) can exist in the classically forbidden region beyond the barrier for a rather long time, since  $\hbar \propto \Delta E \Delta t$  and  $\Delta E$  is small

#### • Examples:

- 1n: <sup>11</sup>Be, <sup>19</sup>C
- 1p: 8B, 26P
- 2n: <sup>6</sup>He, <sup>11</sup>Li, <sup>17</sup>B, <sup>22</sup>C
- 2p: <sup>17</sup>Ne, <sup>27</sup>S
- 4n: 8He, 14Be, 19B

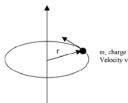


# Electric & Magnetic Moments

In general, a moment is a distance multiplied by a physical quantity. For distributions you integrate the quantity's distribution with respect to distance.

- The nuclear magnetic moments describe the distribution of electric currents in the nucleus
  - Causes nuclei to align along an external magnetic field, which can be exploited using NMR, MRI, etc.
- The nuclear *electric* moments describe the distribution of electric charges in the nucleus
  - Used as a measure of the nuclear shape

### Nuclear magnetic dipole moment



• For a classical charge, e, orbiting in a circle with radius r at velocity v,

the magnetic dipole moment is: 
$$|\mu| = (Circle\ Area) * (Current) = iA = \left(\frac{ev}{2\pi r}\right)(\pi r^2) = \frac{evr}{2}$$

- Since angular momentum of the charge with mass m is l = mvr:
  - $|\mu| = \frac{el}{2m}$ , where m would be the proton mass for an orbiting proton
- In quantum mechanics, the analogous circular orbit is given by the z-projection of  $l, m_l$ :
  - $\mu=rac{e}{2m}m_l\hbar$  ....defining the nuclear magneton to be  $|\mu_N|\equivrac{e\hbar}{2m_p}$ , then:  $\mu=m_l\mu_N$
  - $\mu_N = 3.15 \times 10^{-12} \frac{\text{eV}}{\text{gauss}} = 0.105 \text{ efm}$
- ...but that relationship turns out to not quite be true, so we include a fudge-factor, called the g-factor:  $\mu = g_1 m_1 \mu_N$
- On top of that, there is also a contribution from the intrinsic spin angular momentum and we usually just quantify  $\mu$  in terms of magnetons :  $\mu=g_lm_l+g_sm_s$
- For the free proton and free neutron,  $\mu = g_{s_2}^{-1}$ , where  $g_{s,p} = 5.58$ ,  $g_{s,n} = -3.83$ 
  - ...if they were structure-less, one would expect  $g_{s,p}=2$  and  $g_{s,n}=0$

# Nuclear electric quadrupole moment

Nuclear surface  $I = (D^2 - 2Dr \cos \theta + r^2)^{\frac{1}{2}}$ 

- The nucleus is a charged volume, *V*, with some shape
- The potential at some point a distance I away from the nucleus due to a small slice of the nucleus that has some charge density  $\rho(r,\theta,\varphi)$ , where  $r,\theta,\varphi$  are w.r.t. the nuclear centroid, is:

• 
$$d\phi = \frac{\rho dV}{l} = \frac{\rho dV}{\sqrt{D^2 + r^2 - 2Dr\cos\theta}} = \frac{\rho dV}{D} \left(1 - 2Dr\cos\theta + \frac{r^2}{D^2}\right)^{-1/2}$$

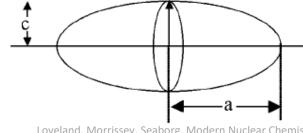
- Someone clever noticed a Taylor expansion of the quantity in parentheses yields a multipole expansion:
  - $d\phi = \frac{\rho dV}{D} \left( 1 + \frac{2\cos\theta}{2} \frac{r}{D} + \frac{1}{8} (3(2\cos\theta)^2 4) \frac{r^2}{D^2} + \cdots \right)$
  - $=\frac{\rho dV}{D} \left(1 + \frac{r}{D}\cos\theta + \left(\frac{3}{2}(\cos\theta)^2 \frac{1}{2}\right)\frac{r^2}{D^2} + \cdots\right)$
- allowing us to spot the Legendre Polynomials,  $P_1(\cos\theta) = \cos\theta$ ,  $P_2(\cos\theta) = \frac{3}{2}(\cos\theta)^2 \frac{1}{2}$ :

• 
$$d\phi = \frac{\rho dV}{D} \left( 1 + \frac{r}{D} P_1(\cos \theta) + \frac{r^2}{D^2} P_2(\cos \theta) + \cdots \right)$$

- When we integrate over the nuclear volume to get the full potential, all odd Legendre polynomials will drop-out, so:
  - $\Phi = \frac{1}{D} \iiint \rho(r, \theta, \varphi) r^2 dr \sin \theta d\theta d\varphi + \frac{1}{D^3} \iiint r^2 \rho(r, \theta, \varphi) r^2 dr \sin \theta d\theta d\varphi + \cdots$ Nuclear charge, Ze

# Nuclear electric quadrupole moment, Q

- $Q = \iiint r^2 \rho(r, \theta, \varphi) r^2 dr \sin \theta d\theta d\varphi$
- ullet Note that if ho is spherically symmetric, the integral over d heta will make Q=0
- ullet So, any non-zero Q indicates a non-spherical nuclear shape
- Choosing an ellipsoid model for the nucleus and evaluating Q above yields
   (it turns out)
  - $Q = \frac{2}{5} Ze(a^2 c^2)$



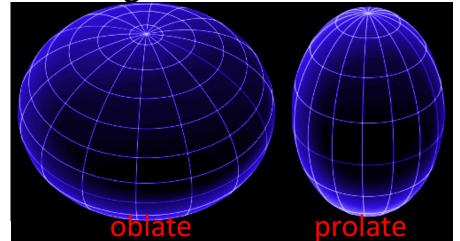
Q is generally provided in units of e

With a measurement of Q, we can solve for a and c using the formula for the

radius of an ellipsoid:

• 
$$R^2 = \frac{1}{2}(a^2 + c^2) = (r_0 A^{1/3})^2$$

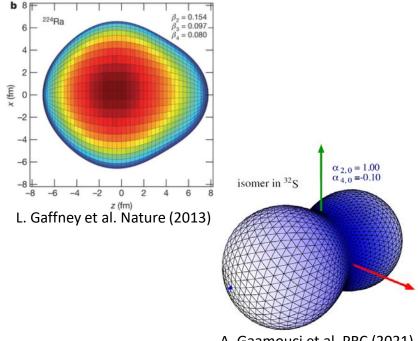
- If a > c, Q > 0 and the nucleus is "prolate"
- If a < c, Q < 0 and the nucleus is "oblate"

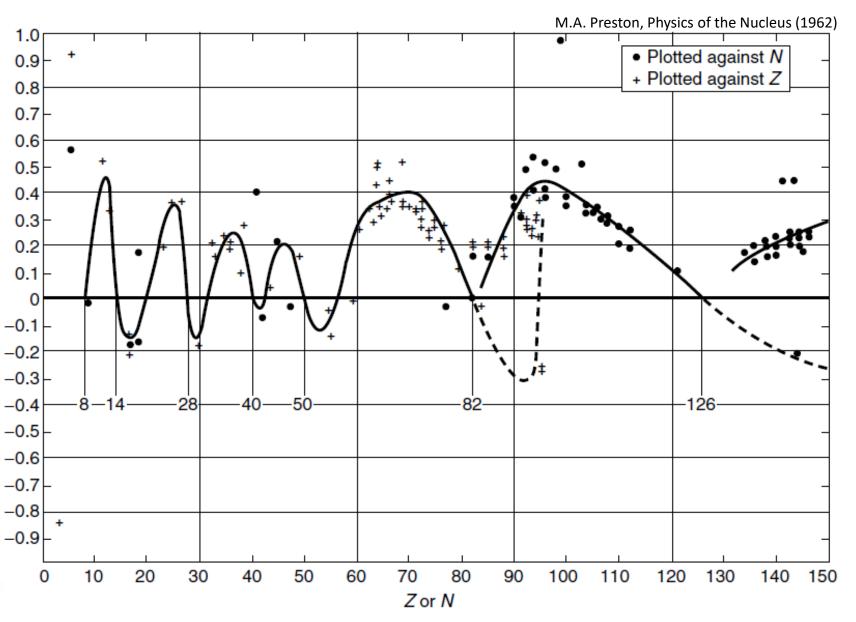


# Nuclear electric quadrupole moment, Q

- Q/e is commonly reported,
   which has units of area
- A convenient unit for such small areas is the barn:
  - 1barn =  $10^{-24}$  cm<sup>2</sup>

higher-order deformation is also possible:



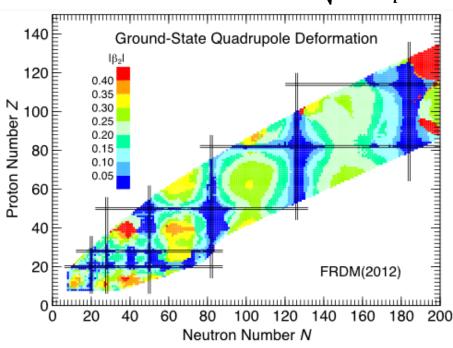


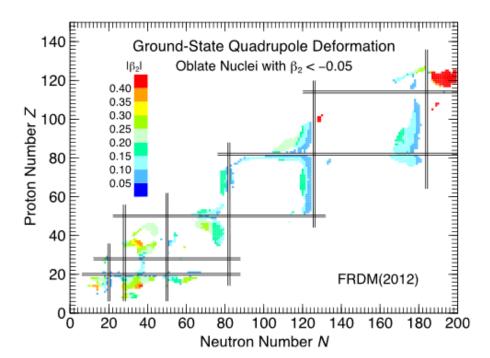
A. Gaamouci et al. PRC (2021)

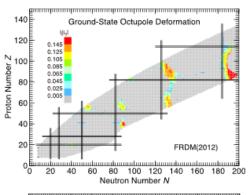
# Q and deformation, β

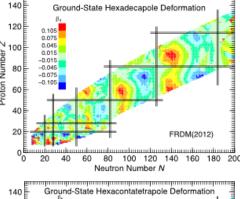
- For an ellipsoid, radius can be represented as an expansion in spherical harmonics
  - $R(\theta, \varphi) = R_{sph} \left( 1 + \sum_{\lambda=2}^{\infty} \sum_{\mu=-\lambda}^{\lambda} \alpha_{\lambda,\mu} Y_{\lambda,\mu}(\theta, \varphi) \right)$
  - where  $R_{sph}$  is the radius of sphere with the same volume,  $r_0A^{1/3}$
  - $\lambda$  is the multipole (1 is neglected because that's C.O.M. motion), and  $\mu$  is the z-projection
  - ...for an axially symmetric nucleus (e.g. prolate & oblate),  $\mu=0$

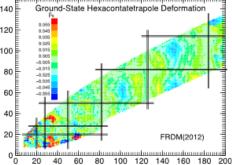
•  $\beta_2 \equiv \alpha_{2,0} = \frac{4}{3} \sqrt{\frac{\pi}{5} \frac{(a-c)}{R_{sph}}}$  ...which is a commonly used metric for deformation







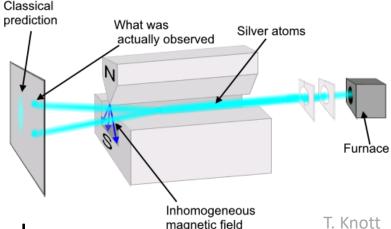




#### Spin

- The quantized nature of atomic observables was noted early on in quantum mechanics (e.g. x-ray energies from electrons changing orbitals)
- Stern & Gerlach set-out to prove this quantization by taking advantage of the fact that an inhomogeneous magnetic field exerts a force on a magnetic dipole (W. Gerlach & G. Stern, Z.Phys. (1922)):
  - $\bullet \ \vec{F} = \nabla (\vec{\mu} \cdot \vec{B})$
  - Upon sending neutral silver atoms through their apparatus, they found a split beam

Luckily the stable isotopes of <sup>107</sup>Ag and <sup>109</sup>Ag both have spin-1/2 ground-states ... otherwise I feel like this experiment would have generated quite a bit of confusion!



- Since  $\mu = g_S m_S$ , this demonstrated there were two spin projections and thus spin=1/2.
- A follow-up (Phipps & Taylor, Phys. Rev. (1927)) demonstrated spin=1/2 for Hydrogen
- Neutrons & protons each have intrinsic spin of ½
- Thus, nuclei have intrinsic spins which are half-integer multiples, where the exact value depends on the nucleus's structure.
- Nucleons pair, when possible, in a nucleus, cancelling spins. For example, ALL even-Z, even-N nuclides Thus unpaired nucleons determine a nucleus's spin.

  have a ground-state spin of zero.

#### Parity, $\pi$

- The quantum mechanical state a nucleus is in is described by a wave function, which will either be even or odd
- **Even** wave-functions are symmetric about the origin (e.g. cosine) and thus, upon flipping the spatial coordinate and spin:
  - $\bullet \ \psi(r,s) = \psi(-r,-s)$
  - This is known as positive parity
- *Odd* wave-functions are antisymmetric about the origin (e.g. sine) and thus, upon flipping the special coordinate and spin
  - $\bullet \ \psi(r,s) = -\psi(-r,-s)$
  - This is known as *negative parity*
- For a spherically symmetric potential (i.e.  $V(r, \theta, \varphi) = V(r)$ ), the parity of a particle is given by its orbital angular momentum:
  - $\pi = (-1)^{\ell}$
- ullet For a state of several nucleons,  $\pi=\prod_i\pi_i$  ...as with spin, it's the unpaired nucleons that matter
- Parity is conserved for strong & electromagnetic interactions

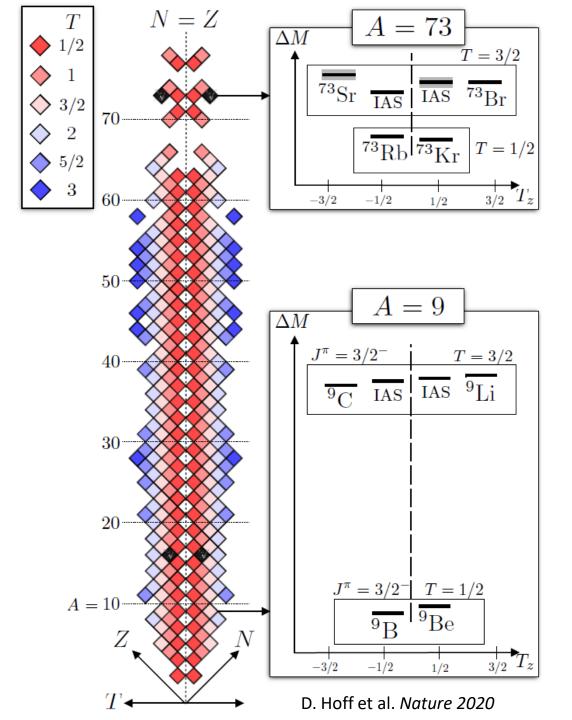
When referring to a state of a nucleus, we typically denote both the spin & parity by:  $J^{\pi}$ 

#### Isospin

- Empirically, the nuclear force between nucleons appears to be charge-independent, i.e. it doesn't matter if you're dealing with neutrons or protons. For instance:
  - Masses of isobars differ only by the different Coulomb energy and the n-p mass difference
  - Nuclear charge radii are well predicted by considering A, not Z and N separately
- Then, one can consider the neutron and proton as two states of the same particle, the nucleon (W. Heisenberg, Z. Phys. A (1932))
- Since the mechanics have already been developed for something in two quantized states (spin-1/2 particles), the associated machinery can be hijacked and assign nuclear states the quantity isospin, T
  - The nucleon has T=1/2 with two projections,  $T_z=+\frac{1}{2}$  (proton) and  $T_z=-\frac{1}{2}$  (neutron)
- For nucleus,  $T_Z = \frac{Z-N}{2}$  and  $|T_Z| \le T \le \frac{A}{2}$ . Usually, for the ground-state  $T = T_Z$
- Isospin is conserved (approximately) in strong interactions

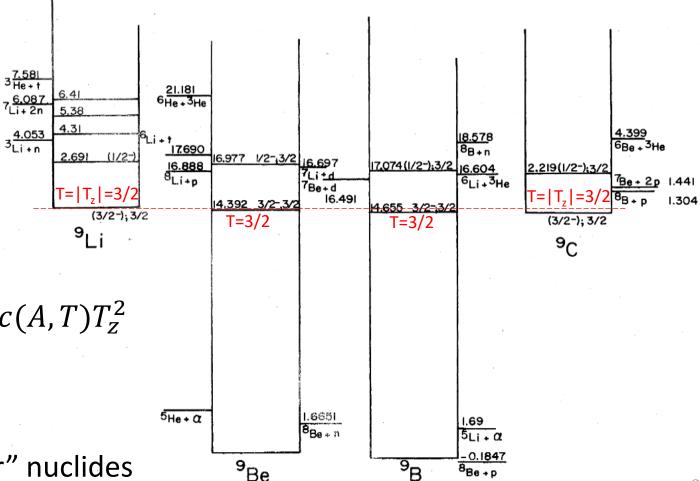
### Isospin symmetry

- As an example what isospin gets you, the ground-state spin-parity is the same for "mirror nuclei" (swap N & Z) except for 2 cases (as of 2021)
- This works for low-ish lying excited states too
  - This can be useful for astrophysical reaction rates, using the structure of the less-exotic nucleus in the pair to inform the structure of the moreexotic nucleus in the pair



#### Isospin symmetry

- A state with isospin T is a part of an isospin multiplet with 2T+1 members, which each are described by the same (or very similar) wave function but have different nuclear charge
- This isospin symmetry implies the members of the multiplet should have the same energy and, corrected for coulomb effects, indeed they do:
- The T>T<sub>z</sub> states in the multiplet are termed "isobaric analogue states" and they are favored when transitioning <sup>7,58ll</sup>/<sub>He+1</sub> from a ground-state in the mulitplet with T=T<sub>z</sub>
- The isobaric mass multiplet equation (IMME) describes the binding energies of the states which are members of the multiplet:
  - $ME(T, T_z) = a(A, T) + b(A, T)T_z + c(A, T)T_z^2$
- This fact is taken advantage of to make predictions about exotic nuclides which are difficult to measure
- Nuclides with N & Z switched are "mirror" nuclides



W. Benenson & E. Kashy, Rev. Mod. Phys. (1979)

# Further Reading

- Review of nuclear masses: <u>D. Lunney, J. Pearson, C. Thibault, Rev. Mod. Phys.</u> (2003)
- Review of charge distributions from electron scattering: R. Hofstadter, Rev. Mod. Phys. (1956)
- Chapter 2,5: Modern Nuclear Chemistry (Loveland, Morrissey, Seaborg)
- Chapters 2,7: Nuclear & Particle Physics (B.R. Martin)
- Chapters 1-3,10: <u>Lecture Notes in Nuclear Structure Physics (B.A. Brown)</u>
- Chapter 4, Example 4.4: Introduction to Quantum Mechanics, D. Griffiths (2005)