Lecture 2: Nuclear Phenomenology

- Mass models & systematics
- Charge & matter distributions
- Moments & deformation
- Spin, parity, & isospin

Empirically-motivated & focused theory



Lecture 2: Ohio University PHYS7501, Fall 2017, Z. Meisel (mei sel @ohi o. edu)

How to explain the binding energy trend?

- Like, Gamow in 1929 & 1930 (Z. Phys. A), consider the nucleus as a group of nucleons (he assumed αs) in a close configuration
- Nucleons will bind together via some attraction force, like water molecules in a drop, but there will be some penalty for like-charges repelling each other.
- Comparing to data, do a pretty decent job, but clearly missing something(s)





The Semi-Empirical Mass Formula (SEMF)

• More carefully consider all of the interactions going on in a nucleus:



- All nucleons are attracting each other via the strong force: generates some bulk binding energy
- However, nucleons near the surface don't have a neighbor: penalizes binding energy
- Protons are repel each other due to the Coulomb force: penalizes binding energy
- p-n attraction is stronger than p-p or n-n & p-n favored space-wise by Pauli exclusion: penalizes N-Z asymmetry
- Nucleons want a dance partner (make spin-0 pair): bonus for even-even, penalty for odd-odd, neutral for even-odd
- These considerations lead C. von Weizsäcker to develop the first usable theory for nuclear masses (Z.Phys. A 1935), now dubbed the semi-empirical mass formula, or SEMF if you're extra-cool



The Semi-Empirical Mass Formula



- BE(Z,A) = Volume Surface Coulomb Asymmetry ± Pairing
- One mathematical parameterization* (of many!):

*from B. Martin, Nuclear and Particle Physics (2009)

- $BE(Z,A) = a_v f_v(A) a_s f_s(A) a_c f_c(Z,A) a_a f_a(Z,A) + i a_p f_p(A)$ •Volume: Nucleons cohesively bind, so: $f_v(A) = A$
 - •Surface: Since radius goes as $R \propto A^{1/3}$ and surface area goes as $SA \propto R^2$, $f_s(A) = A^{2/3}$
 - •Coulomb: Energy for a charged sphere goes as $\frac{q^2}{R}$ and $R \propto A^{1/3}$, so $f_c(Z, A) = \frac{Z(Z-1)}{A^{1/3}}$

•Asymmetry: Z=N favored (want Z=A/2) but lesser problem for large A, so $f_a(Z, A) = \frac{(Z - \frac{A}{2})^2}{A}$

•**Pairing**: Favor spin-0 nucleon pairs & disfavor unpaired nucleons, empirically $f_p(A) = (\sqrt{A})^{-1}$

- •Even-Z, Even-N: *i* = +1
- •Odd-Z, Odd-N: i = -1

•Even-Odd: $\mathbf{i} = \mathbf{0}$

 $\bullet a_i$ are fit to data

A mnemonic for remembering SEMF contributions is "VSCAP".

The Semi-Empirical Mass Formula



5



- Gives a pretty remarkable reproduction of the data: 1 part in 10⁴
- Good enough for many modern applications,

e.g. neutron star crustal heating (A. Steiner Phys. Rev. C 2012) and pristine crust composition (Roca-Maza & Piekarewicz PRC 2008)

Nuclear Mass Models: Latest & Greatest



Others:

- Energy density functionals (EDF), e.g. M.Kortelainen et al. PRC (2012)
- KTUY from Koura, Tachibana, Uno, Yamada, Prog. Theor. Phys. (2005)
- ^{10²} Local, algebraic relations:
 - Garvey-Kelson
 - Isobaric Mass Multiplet Equation
 - Smooth mass-surface extrapolations from the AME
 - many more ...

Duflo-Zuker: J.Duflo & A.Zuker, PRC R. (1995) HFB: S.Goriely, N.Chamel, J.Pearson PRC (2010)



The main point is that even the best theoretical descriptions struggle to do better than the ~100keV level. Structure & astrophysics often need keV-level precision.

Nuclear Mass Differences

- The energy released in a nuclear reaction is the "Q-value"
 - $Q = \sum_{reactants} ME(Z, A) \sum_{products} ME(Z, A)$,
 - For example, $Q_{68}_{Se(p,\gamma)}{}^{69}_{Br} = ME({}^{68}Se) + ME(p) ME({}^{69}Br)$
 - = (-54.189MeV) + (7.288MeV) (-46.260MeV)• = -0.641MeV
- Considering the case above, we calculated the energy released by adding one proton to ⁶⁸Se, which corresponds to the energy it takes to remove one proton from ⁶⁹Br, a.k.a. the "proton separation energy", S_p
- Similarly, can calculate the energy to remove 1-neutron S_n , two-protons S_{2p} , or two-neutrons S_{2n}
 - $S_p(Z,N) = ME(Z-1,N) + ME(p) ME(Z,N)$
 - $S_n(Z,N) = ME(Z,N-1) + ME(n) ME(Z,N)$
 - $S_{2p}(Z, N) = ME(Z 2, N) + 2 * ME(p) ME(Z, N)$
 - $S_{2n}(Z, N) = ME(Z, N-2) + 2 * ME(n) ME(Z, N)$

Nuclear Masses & Nuclear Structure

Information is encoded in separation energies (& separation energy differences)



Deviation provided 1st evidence for "island of inversion" [C. Thibault et al. PRC 1975]

See that some mass models do more poorly than it looks when looking only at mass predictions.



 $D_n(Z,A) = (-1)^{N+1} [S_n(Z,A+1) - S_n(Z,A)]$

Nuclear masses define the nuclear landscape



Nuclear Masses in Astrophysics (Selected Examples)



r-process abundance yields



Charge & Matter Distributions



- The nucleus can't be a point object.
 - Nucleons are fermions: Pauli exclusion forbids putting several in the same place.
- The radial distribution of charge & matter are probed via scattering.
 - Electrons are best for the charge radius, because structure-less but charged
 - Neutrons are best for the matter radius, because not charged and simple-ish structure

Nuclear charge distribution

•Scattering of point charged particles (with charges e and Ze) is well-described by the relativistic correction to Rutherford scattering, i.e. the Mott scattering cross section

•
$$\sigma_{Mott}(\theta_{cm}) = \left(\frac{Ze^2}{2E_{cm}}\right)^2 \frac{\left(COS\left(\frac{\theta_{cm}}{2}\right)\right)^2}{\left(sin\left(\frac{\theta_{cm}}{2}\right)\right)^4}$$

another notation for $\sigma(\theta)$ is $d\sigma/d\Omega(\theta)$

 It turns out, from considering scattering of a plane wave off of an extended object and solving for the outgoing spherical wave, one realizes a form factor needs to be included*:
 *It's there for a point object, but it's a delta function

•
$$\sigma_{scatt}(\theta_{CM}) = \sigma_{Mott}(\theta_{CM}) * \left| \int_{nuclear volume} \rho_{charge}(r) e^{i \mathbf{q} \cdot \mathbf{r}} \right|^2$$
 where \mathbf{q} is the momentum transfer
• $\sigma_{scatt}(\theta_{CM}) = \sigma_{Mott}(\theta_{CM}) * |F(\mathbf{q}^2)|^2$

• i.e. the form factor $F(q^2)$ is the Fourier transform of the charge distribution

Nuclear charge distribution

• Luckily, sharp people have solved for form factors corresponding to typical charge distributions See, e.g. Table 1 of R. Hofstadter, Rev. Mod. Phys. (1956)



• Comparing measured $\sigma_{scatt}(\theta)$ to calculations reveals a Fermi-like distribution: Typical value

•
$$\rho_{ch,Fermi}(r) = \frac{\rho_0}{1 + \exp(\frac{r-R}{a})}$$

- Typical values are R~1.1*A^{1/3}fm, a~0.5fm, ρ₀~0.07
- The associated form factor is absurdly cumbersome, so usually a sum of Gaussians is used instead, since they have more manageable F(q):



Nuclear charge distribution

Review: R. Hofstadter, Rev. Mod. Phys. (1956)

• Comparing measured $\sigma_{scatt}(\theta)$ to calculations w/ various F(q) reveals a Fermi-like distribution (R. Woods & D. Saxon, Phys. Rev. (1954))



125MeV e⁻ on Au



What about our handy relationship for R(A)!?

- Recall, an estimate for the nuclear radius was stated last time as: $R(A)=r_0A^{1/3}$
- Can compare RMS radius from Fermi distribution, $\{\rho_{ch,Fermi}(r) = \frac{\rho_0}{1 + \exp(\frac{r-R}{r})}\}$, to R(A):







Nuclear matter distribution

- •The low-budget technique to obtain the matter density, $\rho_{matt}(r)$, would be:
 - $\rho_{matt}(r) = \rho_{ch}(r) * (A/Z)$
 - Since $\rho_{ch}(0)$ steadily decreases with A, this leads to a near constant $\rho_{matt}(0)$ for all nuclides, which turns out to be $\rho_{matt}(0) \sim 0.17$ nucleons/fm³
- •Those with more discerning tastes prefer actual data, which is generally obtained via neutron scattering, but can be using any hadron
- •The appreciable de Broglie wavelength of the probe (e.g. a neutron) and significant contributions from strong-force interactions, mean that the plane wave of the probe will undergo diffraction, as in optics
- For a light probe (e.g. n, p, d) at appreciable energy (e.g. 10's of MeV), ...sort of like light passing by an absorbing disk*: get Fraunhofer diffraction



*If you're a glutton for punishment, the mathematics for diffraction from an absorbing disk is here: <u>R.E. English</u> <u>& N. George, Applied Optics (1988)</u>

Nuclear matter distribution

- The analogy is taken further with the "optical model"
- Like a photon, incoming nucleons can be scattered or absorbed by the scattering medium (i.e. the target)
- In optics, the imaginary part of the refractive index accounts for absorption of the incident light wave
- Here, the imaginary part of a complex potential (which describes the interaction between the projectile and target) corresponds to all inelastic reactions [As a refresher, elastic means kinetic energy is conserved. Everything else is inelastic]
- An important feature of the optical model is that the main property of relevance for the target is the nuclear size, so an interaction potential for similar target and a similar projectile & energy should do a decent job
- This allows for "global optical models", e.g. Perey & Perey, Phys. Rev. 1966 and Koning & Delaroche, Nuc. Phys. A 2003



Fig. 8. Angular distributions of the elastically (1) and inelastically (2, 3, 4, 5) scattered deuterons from 54 Fe and optical-model fit (O.M.), one-phonon collective-model (C.M.) and shell-model (S.M.) calculations.

Exceptions to the rule: Halo nuclei

- Some nuclei exhibit radii far larger than expected from the r₀A^{1/3} estimate
- Their large radius is due to 1, 2, or 4 loosely bound nucleons
- The small binding energy of these valence nucleons corresponds to a low tunneling barrier
- From Heisenberg's uncertainty principle, the nucleon(s) can exist in the classically forbidden region beyond the barrier for a rather long time, since $\hbar \propto \Delta E \Delta t$ and ΔE is small
- Examples:
 - 1n: ¹¹Be, ¹⁹C
 - 1p: ⁸B, ²⁶P
 - 2n: ⁶He, ¹¹Li, ¹⁷B, ²²C
 - 2p: ¹⁷Ne, ²⁷S
 - 4n: ⁸He, ¹⁴Be, ¹⁹B



Electric & Magnetic Moments

In general, a moment is a distance multiplied by a physical quantity. For distributions you integrate the quantity's distribution with respect to distance.

- The nuclear *magnetic* moments describe the distribution of electric currents in the nucleus
 - Causes nuclei to align along an external magnetic field, which can be exploited using NMR, MRI, etc.
- The nuclear *electric* moments describe the distribution of electric charges in the nucleus
 - Used as a measure of the nuclear shape

Nuclear magnetic dipole moment

- For a classical charge, *e*, orbiting in a circle with radius *r* at velocity *v*, the magnetic dipole moment is: $|\mu| = (Circle Area) * (Current) = iA = \left(\frac{ev}{2\pi r}\right)(\pi r^2) = \frac{evr}{2}$
- Since angular momentum of the charge with mass m is l = mvr:
 - $|\mu| = \frac{el}{2m}$, where m would be the proton mass for an orbiting proton
- In quantum mechanics, the analogous circular orbit is given by the z-projection of l, m_l :
 - $\mu = \frac{e}{2m}m_l\hbar$ defining the nuclear magneton to be $|\mu_N| \equiv \frac{e\hbar}{2m_p}$, then: $\mu = m_l\mu_N$

•
$$\mu_N = 3.15 \times 10^{-12} \frac{\text{eV}}{\text{gauss}} = 0.105 \text{ efm}$$

- ...but that relationship turns out to not quite be true, so we include a fudge-factor, called the g-factor: $\mu = g_l m_l \mu_N$
- On top of that, there is also a contribution from the intrinsic spin angular momentum and we usually just quantify μ in terms of magnetons : $\mu = g_l m_l + g_s m_s$
- For the free proton and free neutron, $\mu = g_{s\frac{1}{2}}$, where $g_{s,p} = 5.58$, $g_{s,n} = -3.83$
 - ... if they were structure-less, one would expect $g_{s,p} = 2$ and $g_{s,n} = 0$

Want more µ? Go wild with <u>N. Stone's Table of Nuclear Magnetic Dipole and Electric Quadrupole Moments</u>

Nuclear electric quadrupole moment

• The nucleus is a charged volume, *V*, with some shape



• The potential at some point a distance I away from the nucleus due to a small slice of the nucleus that has some charge density $\rho(r, \theta, \varphi)$, where r, θ, φ are w.r.t. the nuclear centroid, is:

•
$$d\phi = \frac{\rho dV}{l} = \frac{\rho dV}{\sqrt{D^2 + r^2 - 2Dr \cos \theta}} = \frac{\rho dV}{D} \left(1 - 2Dr \cos \theta + \frac{r^2}{D^2}\right)^{-1/2}$$

• Someone clever noticed a Taylor expansion of the quantity in parentheses yields a multipole expansion:

•
$$d\phi = \frac{\rho dV}{D} \left(1 + \frac{2\cos\theta}{2} \frac{r}{D} + \frac{1}{8} (3(2\cos\theta)^2 - 4) \frac{r^2}{D^2} + \cdots \right)$$

• $= \frac{\rho dV}{D} \left(1 + \frac{r}{D} \cos\theta + \left(\frac{3}{2} (\cos\theta)^2 - \frac{1}{2}\right) \frac{r^2}{D^2} + \cdots \right)$

• allowing us to spot the Legendre Polynomials, $P_1(\cos \theta) = \cos \theta$, $P_2(\cos \theta) = \frac{3}{2}(\cos \theta)^2 - \frac{1}{2}$:

•
$$d\phi = \frac{\rho dV}{D} \left(1 + \frac{r}{D} P_1(\cos\theta) + \frac{r^2}{D^2} P_2(\cos\theta) + \cdots \right)$$

• When we integrate over the nuclear volume to get the full potential, all odd Legendre polynomials will drop-out, so:

•
$$\phi = \frac{1}{D} \iiint \rho(r, \theta, \varphi) r^2 dr \sin \theta \, d\theta d\varphi + \frac{1}{D^3} \iiint r^2 \rho(r, \theta, \varphi) r^2 dr \sin \theta \, d\theta d\varphi + \cdots$$

Nuclear charge, Ze Electric quadrupole moment, Q

Nuclear electric quadrupole moment, Q

- $Q = \iiint r^2 \rho(r, \theta, \varphi) r^2 dr \sin \theta \, d\theta d\varphi$
- Note that if ρ is spherically symmetric, the integral over $d\theta$ will make Q = 0
- So, any non-zero Q indicates a non-spherical nuclear shape
- Choosing an ellipsoid model for the nucleus and evaluating Q above yields (it turns out)

$$\bullet \ Q = \frac{2}{5} Ze(a^2 - c^2)$$



Q is generally provided in units of e

Loveland, Morrissey, Seaborg, Modern Nuclear Chemistry (2006)

• With a measurement of Q, we can solve for a and c using the formula for the radius of an ellipsoid:

•
$$R^2 = \frac{1}{2}(a^2 + c^2) = (r_0 A^{1/3})^2$$

- If a > c, Q > 0 and the nucleus is "prolate"
- If a < c, Q < 0 and the nucleus is "oblate"



Nuclear electric quadrupole moment, Q

M.A. Preston, Physics of the Nucleus (1962) 1.0 • *Q*/*e* is commonly reported, Plotted against N 0.9 which has units of area + Plotted against Z 0.8 0.7 • A convenient unit for such 0.6 small areas is the barn: 0.5 .. • 1barn = 10^{-24} cm² 0.4 0.3 0.2 0.1 higher-order deformation 0 is also possible: -0.1-0.21‡ $\beta_2 = 0.154$ $\beta_3 = 0.097$. -0.3 224R = 0.080-0.428 82 -126 -14 -0.5 2 -0.6x (fm) 0 -0.7 -2 -0.8-0.9 -4 -6 10 20 30 40 50 60 70 80 90 100 120 130 0 110 140 150 Z or N -8 z (fm)

L. Gaffney et al. Nature (2013)

Q and deformation, β

- For an ellipsoid, radius can be represented as an expansion in spherical harmonics
 - $R(\theta, \varphi) = R_{sph} \left(1 + \sum_{\lambda=2}^{\infty} \sum_{\mu=-\lambda}^{\lambda} \alpha_{\lambda,\mu} Y_{\lambda,\mu}(\theta, \varphi) \right)$
 - where R_{sph} is the radius of sphere with the same volume, $r_0 A^{1/3}$
 - λ is the multipole (1 is neglected because that's C.O.M. motion), and μ is the z-projection
 - ...for an axially symmetric nucleus (e.g. prolate & oblate), $\mu=0$

• $\beta_2 \equiv \alpha_{2,0} = \frac{4}{3} \sqrt{\frac{\pi}{5} \frac{(a-c)}{R_{sph}}}$...which is a commonly used metric for deformation





Spin

- The quantized nature of atomic observables was noted early on in quantum mechanics (e.g. x-ray energies from electrons changing orbitals)
- Stern & Gerlach set-out to prove this quantization by taking advantage of the fact that an inhomogeneous magnetic field exerts a force on a magnetic dipole (W. Gerlach & G. Stern, Z.Phys. (1922)):
 - $\vec{F} = \nabla \left(\vec{\mu} \cdot \vec{B} \right)$
 - Upon sending neutral silver atoms through their apparatus, they found a split beam



Classical

prediction

What was

actually observed

Inhomogeneous

magnetic field

Silver atoms

- Since $\mu = g_s m_s$, this demonstrated there were two spin projections and thus spin=1/2.
- A follow-up (Phipps & Taylor, Phys. Rev. (1927)) demonstrated spin=1/2 for Hydrogen
- Neutrons & protons each have intrinsic spin of ¹/₂
- Thus, nuclei have intrinsic spins which are half-integer multiples, where the exact value depends on the nucleus's structure.
- Nucleons pair, when possible, in a nucleus, cancelling spins. For example, ALL even-Z, even-N nuclides Thus unpaired nucleons determine a nucleus's spin.

Furnace

T. Knott

Parity, π

- The quantum mechanical state a nucleus is in is described by a wave function, which will either be even or odd
- **Even** wave-functions are symmetric about the origin (e.g. cosine) and thus, upon flipping the spatial coordinate and spin:
 - $\psi(r,s) = \psi(-r,-s)$
 - This is known as *positive parity*
- **Odd** wave-functions are antisymmetric about the origin (e.g. sine) and thus, upon flipping the special coordinate and spin
 - $\psi(r,s) = -\psi(-r,-s)$
 - This is known as *negative parity*
- For a spherically symmetric potential (i.e. $V(r, \theta, \varphi) = V(r)$), the parity of a particle is given by its orbital angular momentum:
 - $\pi = (-1)^{\ell}$
- For a state of several nucleons, $\pi = \prod_i \pi_i$... as with spin, it's the unpaired nucleons that matter
- Parity is conserved for strong & electromagnetic interactions

When referring to a state of a nucleus, we typically denote both the spin & parity by: J^π

Isospin

- Empirically, the nuclear force between nucleons appears to be charge-independent, i.e. it doesn't matter if you're dealing with neutrons or protons. For instance:
 - Masses of isobars differ only by the different Coulomb energy and the n-p mass difference
 - Nuclear charge radii are well predicted by considering A, not Z and N separately
- Then, one can consider the neutron and proton as two states of the same particle, the nucleon (W. Heisenberg, Z. Phys. A (1932))
- Since the mechanics have already been developed for something in two quantized states (spin-1/2 particles), the associated machinery can be hijacked and assign nuclear states the quantity *isospin*, T
 - The nucleon has T = 1/2 with two projections, $T_z = +\frac{1}{2}$ (proton) and $T_z = -\frac{1}{2}$ (neutron)
- For nucleus, $T_z = \frac{Z-N}{2}$ and $|T_z| \le T \le \frac{A}{2}$. Usually, for the ground-state $T = T_z$
- Isospin is conserved (approximately) in strong interactions

*just to keep life interesting, sometimes the opposite convention is used, where $T_{z,proton} = -1/2$, $T_{z,neutron} = 1/2$ and $T_{z,nucleus} = (N-Z)/2$

Isospin

- A state with isospin T is a part of an isospin multiplet with 2T+1 members, which each are described by the same (or very similar) wave function but have different nuclear charge
- This isospin symmetry implies the members of the multiplet should have the same energy and, corrected for coulomb effects, indeed they do:
- The T>T, states in the multiplet are termed "isobaric analogue states" and they are favored when transitioning 37.581 Hett from a ground-state in the mulitplet with T=T,
- The isobaric mass multiplet equation (IMME) describes the binding energies of the states which are members of the multiplet:

•
$$ME(T, T_z) = a(A, T) + b(A, T)T_z + c(A, T)T_z^2$$

- This fact is taken advantage of to make predictions about exotic nuclides which are difficult to measure
- Nuclides with N & Z switched are "mirror" nuclides



Further Reading

- Review of nuclear masses: D. Lunney, J. Pearson, C. Thibault, Rev. Mod. Phys. (2003)
- Review of charge distributions from electron scattering: <u>R. Hofstadter, Rev. Mod. Phys. (1956)</u>
- Chapter 2,5: Modern Nuclear Chemistry (Loveland, Morrissey, Seaborg)
- Chapters 2,7: Nuclear & Particle Physics (B.R. Martin)
- Chapters 1-3,10: Lecture Notes in Nuclear Structure Physics (B.A. Brown)
- Chapter 4, Example 4.4: Introduction to Quantum Mechanics, D. Griffiths (2005)